

## Interfacial & Nanoscale Science Facility

The Interfacial & Nanoscale Science (I&NS) Facility is a world-class resource for scientific expertise and instrumentation related to the study of interfacial phenomena and nanoscience and technology. This section summarizes the capabilities that exist in the I&NS Facility, along with research programs associated with facility users. Activities in the I&NS Facility address national needs in environmental restoration, waste management, pollution prevention, energy, and national security through research that specializes in preparation, characterization, interactions, and reactivity of interfaces and nanoscale materials. The range of scientific expertise and instrumentation within the I&NS Facility provides a unique environment for research in areas such as nanoscience and nanotechnology; heterogeneous catalysis; environmental interfaces including aerosols and minerals; materials and chemoselective interfaces; and areas within microanalytical science such as chemical sensing and nanobiotechnology.

The I&NS Facility and its scientific staff provide a broad range of instrumentation, laboratory capabilities, and expertise. Instrumentation is available for chemical synthesis, analytical chemistry, separations, electrochemistry, thin film deposition, catalytic reactors, ion-beam processing, and microfabrication. Capabilities include an accelerator facility for material modification and analysis using ion beams along with interface characterization; scanning probe microscopies; electron microscopy and x-ray analysis; spectroelectrochemistry; high-spatial/energy resolution surface analysis; catalyst preparation, characterization, and reaction engineering; fully equipped clean room for microfabrication, microanalytical systems development and testing laboratories; inorganic, organic, polymer, and biochemical synthesis and characterization facilities; a full complement of thin-film deposition and characterization facilities; and fully equipped analytical support laboratories. The combination of surface and interface characterization techniques that provide high spatial, depth, and energy resolution for a broad array of methods is unmatched anywhere in the world. Many systems are coupled directly to film growth chambers, and samples can be moved among 16 different systems under controlled environment without exposure to air.

I&NS Facility staff in collaboration with Pacific Northwest National Laboratory staff continue to focus on innovative research in the areas of surface and interfacial chemistry, advanced materials synthesis and characterization, and microanalytical science. Our activities emphasize research relevant to the four U.S. Department of Energy mission areas—science, energy resources, environmental quality, and national security—and to operation of a world-class user facility for scientific problem-solving that supports the science mission. Our staff also plays a major role in the continued success of the W.R. Wiley Environmental Molecular Sciences Laboratory (EMSL) by providing support, training, and collaboration to onsite

### Instrumentation & Capabilities

- Thin-film deposition
- Surface analysis suite
- Electron microscopy suite
- Scanning probe microscopy
- Ion-beam processing and analysis
- Nanobiotechnology capabilities
- Surface science and catalysis laboratory
- Catalytic reactors
- X-ray diffraction laboratory
- Microfabrication
- Chemical and biological sensing
- Other analytical and characterization laboratories

users. Over the past five years, research activities in the I&NS Facility were concentrated in four major thrust areas: films and interphases, surface chemistry and catalysis, material interfaces, and microsensors and microfluidics. Staff within the I&NS Facility continue to focus their efforts in these four areas, with research on the following topics:

- **Oxide and Mineral Films and Surfaces.** Structural and chemical properties of model single-crystal oxide and complex mineral surfaces
- **Electronic and Catalytic Materials.** High dielectric materials, magnetic oxide semiconductors, and oxide catalysts
- **Nanoscale Materials.** Oxide quantum dots and nano films of magnetic and oxygen ion-conducting oxides, buried nanoclusters in oxides
- **Interfacial Properties and Reactivity.** Reactions at oxide and mineral interfaces and the structural and chemical properties
- **Microanalytical Separations and Sensing.** Development of new microanalytical and sensing principles, tools, and testing
- **Nanobiotechnology.** Single-enzyme nanoparticles, enzymes in nanostructured matrices, understanding the dynamics of these materials
- **Environmental Studies.** Waste separations, structural and chemical stability of waste forms under different radiation and chemical environment, atmospheric aerosols
- **Analysis and Characterization.** Fully equipped analytical laboratories and characterization facilities.

**Films and Interphases.** The physical and chemical properties of the region between single phases of material (the interphase) have a major influence on many characteristics of the material, including stability, electronic properties, atomic and ionic transport, and chemical reactivity. Research programs include the synthesis of thin films and nanostructured materials, both of which contain a high concentration of interphase regions. Research activities also involve studies of solid/solid, solid/liquid, and solid/gas (or vacuum) interphase regions. Although most studies are focused on inorganic materials and interphases, organic and biological systems are becoming an increasingly large part of our work.

**Surface Chemistry and Catalysis.** Basic research is carried out with the most simple, well-defined, environmentally relevant crystallographic structures (e.g., mineral carbonates, metal oxides) where molecular theory and spectroscopy are immediately applicable. The work then progresses to materials with more complex structures, such as iron and titanium oxides with substitutional impurities. For example, fundamental studies of the oxygen storage and release properties of pure and zirconium-doped ceria single-crystal thin films are aimed at understanding how these “oxygen storage materials” perform in an automobile exhaust catalytic converter. In addition to fundamental surface chemistry research, we are developing materials and reactor designs for a number of heterogeneous catalytic processes. One study involves synthesizing, characterizing, and testing a group of novel, mesoporous silica-supported, solid-acid catalysts for use in petroleum refining processes.

**Material Interfaces.** Studies are being conducted on solid/solid interfaces in a wide variety of materials, radiation effects in materials, fundamental defect properties and interactions, atomic and ionic transport, and aerosol characterization. Many of the studies on solid/solid interfaces involve 1) characterization of interfaces between thin films and substrates, between ion-beam-modified surfaces and the original substrate, or between nanoclusters and

host matrices; 2) segregation or diffusion of point defects, impurities, dopants, or gas atoms to or away from such interfaces; 3) transport of hydrogen, oxygen, or other gases across such interfaces; 4) formation or destruction of such interfaces from radiation damage processes; and 5) stability of interfaces under a wide range of environmental conditions. Studies on radiation effects include experimental research on materials for immobilization of nuclear waste and plutonium, as well as materials for next-generation nuclear power production, wide-band-gap semiconductors, and multi-scale computer simulations of damage production processes, defect diffusion, and microstructure evolution. Studies on atomic and ionic transport include ion exchange processes in nuclear waste glasses, hydrogen storage and transport in materials, and oxygen transport in fast ion conductors.

**Microsensors, Microfluids, and Nanobiotechnology.** This research area includes four primary thrust areas: 1) array-based vapor sensors, 2) nanoscience, 3) bioanalytical microfluidics, and 4) radioanalytical microfluidics. Key areas of science in array-based vapor sensing include rational design and synthesis of polymeric sensing materials, linear free-energy models for vapor/polymer interactions, organic thin films, photopatterning methods for sensor materials, integrated sensor system development, and multi-variate data analysis. The nanoscience area includes development of monolayer-protected gold nanoparticles for use on sorptive sensing films, single-enzyme nanoparticles as a new nanostructure for enzyme stabilization, and multi-functional nanoparticle assemblies for biodetection. The latter two areas represent a new thrust in nanobiotechnology, and a laboratory within EMSL has been established for synthesizing enzyme nanostructures and studying enzyme kinetics and enzymes in nanostructured matrices. Experimental research in the latter two areas, bioanalytical microfluidics and radioanalytical microfluidics, is now located primarily in other facilities but close scientific ties with EMSL are maintained.

### **Major Capabilities in I&NS Facility**

**Thin Film Deposition.** Thin film deposition capabilities include oxygen plasma-assisted molecular beam epitaxy (MBE) systems, a metal organic chemical vapor deposition system (MOCVD), and a sputter deposition system. The MBE systems consist of growth chambers connected to surface characterization chambers through sample transfer lines. The growth chambers have various electron beam and effusion cell sources along with reflection high-energy electron diffraction (RHEED) and quartz crystal oscillators to monitor the growth. The surface characterization chambers are equipped with several surface science capabilities including x-ray photoelectron spectroscopy (XPS)/diffraction (XPD), Auger electron spectroscopy (AES) low-energy electron diffraction (LEED), and atomic force microscopy/scanning tunneling microscopy (AFM/STM). The MOCVD system is specially designed for epitaxial growth of oxide thin films. The system comprises a rotating disk reactor, two metal organic source delivery systems (bubbler vapor-phase and direct liquid source-injection), an oxygen microwave plasma unit, a spectroscopic ellipsometer, and a Fourier transform, infrared (FTIR) spectrometer. The system is capable of growing uniform (in both thickness and composition) oxide thin films with abrupt interfaces. The sputter deposition system consists of RF and DC sputtering sources.

**Surface Analysis Suite.** The surface analysis suite consists of a Physical Electronics Instruments (PHI) Quantum 2000 high-resolution, x-ray photoelectron spectrometer

(pictured in Figure 1), a Kratos Axis multi-technique surface analysis system (SAS), a PHI Model T2100 time-of-flight secondary ion mass spectrometer (TOF-SIMS), and a PHI Model 680 Auger electron spectroscopy (AES)/scanning Auger microprobes. The Quantum 2000 XPS system is unique in that it uses a focused monochromatic Al K  $\alpha$  x-ray beam that can be varied in size from as small as 10  $\mu\text{m}$  in diameter to approximately 200  $\mu\text{m}$ . The TOF-SIMS system uses a pulsed and focused ion source and TOF analyzer to obtain high spatial- and mass-resolution data from a specimen surface. The multi-technique SAS enables surfaces to be probed with a variety of complementary analysis methods, and contains electron imaging, electron spectroscopy, and both primary and secondary ion-scattering capabilities. The Model 680 AES/scanning Auger microprobes is based upon a field emission electron source and a cylindrical mirror analyzer. The electron beam size can be focused as low as 10 nm at 20 kV, although somewhat larger beams are typically used to collect AES data. Instrument features and capabilities include beam rastering, SEM imaging, mapping, a sputter gun for specimen cleaning and depth profiling, and sample rotation to allow “Zalar” rotation during sputtering. The system also is configured with an x-ray detector for near-surface analysis in combination with AES surface analysis.

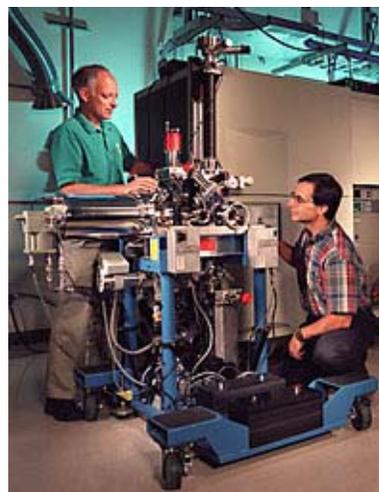


Figure 1. X-Ray Photoelectron Spectrometer.

**Electron Microscopy Suite.** The electron microscopy suite consists of a LEO 982 field emission scanning electron microscope (FESEM), high-resolution transmission electron microscope (TEM), and an environmental scanning electron microscope (ESEM). The FESEM is an ultra-high performance SEM with a resolution of 1 nm at 30 kV and 4 nm at 1.0 kV. It has a large specimen chamber equipped with multiple detectors: a below-lens secondary electron detector, an in-lens secondary electron detector, a backscatter electron detector, an energy dispersive x-ray detector, and a detector for electron backscatter diffraction. The JEOL 2010 is a high-resolution TEM with a spatial resolution of 0.194 nm. This instrument has a medium acceleration voltage of 200 kV, a high brightness electron source, digital image recording, a computer-controlled sample goniometer, and a geometrically optimized x-ray detector. It has a wide range of illumination lens conditions—TEM mode, energy dispersive spectroscopy mode, nanometer beam electron diffraction (NBED), and convergent beam electron diffraction (CBED). The TEM is post-column attached with a Gatan image filter, giving an optimized energy resolution of  $\sim 1.2$  eV, enabling light element analysis by electron energy-loss spectroscopy (EELS) and elemental mapping in the electron spectroscopic imaging (ESI). The ESEM is a high-performance, variable-pressure SEM with a resolution of 5 nm at 30 kV. It can be used to examine uncoated, non-conductive specimens, and with a Peltier stage, it can be used with wet specimens. It will image specimens in a variety of atmospheres, such as water vapor, air, argon, nitrogen, and helium. The ESEM has a large specimen chamber equipped with an energy dispersive x-ray detector, a microinjector, and a micromanipulator.

**Scanning Probe Microscopy.** The scanning probe microscopy laboratory has a Digital Instrument (DI) Nanoscope IIIa AFM, a Topometrix TMX 1000 Explorer SPM, and a Park-VP scanning probe microscope. The DI Nanoscope IIIa AFM is capable of operating in both air and liquid environments and in several modes that include contact, tapping, frictional force, phase/frequency, capacitance, and magnetic/electrostatic force. As a real space probe, the instrument can be used to probe surface morphology, defects, and electrostatic, magnetic, dopant concentration, and mechanical properties of conducting and non-conducting materials. The Topometrix TMX 1000 Explorer SPM can be used for both AFM and STM in air and in liquid and is particularly useful for larger samples. This instrument includes both contact and non-contact AFM modes. STM requires an electrically conductive sample and produces images based on the topography and electronic structure of the sample. AFM samples may be insulating or conducting; the image is based on the force between the AFM probe and the sample and is primarily a map of the surface topography. The Park-VP scanning probe microscope can be used for both STM and AFM in ultra-high vacuum (UHV) conditions. As a real space probe, this instrument is designed to probe surface structure, defects, and morphology of conducting and non-conducting materials. In addition to STM/AFM, the vacuum system also is equipped with other surface science capabilities that include LEED, XPS, AES, and oxygen plasma cleaning.

**Ion Beam Processing and Analysis.** The accelerator facility is equipped with capabilities to perform material modification and analysis using high energy ion beams. The facility has two ion sources, a 3 MeV tandem ion accelerator, injector and analyzing magnets, beam lines, and four end stations. The end station on the +30° beam line is equipped with LEED, AES, XPS, oxygen plasma, and sputter cleaning sources and effusion cells in addition to the conventional ion beam capabilities. Ion beam capabilities include fixed and movable detectors for Rutherford backscattering spectrometry/channeling (RBS/C), nuclear reaction analysis (NRA), and elastic recoil detection analysis (ERDA). This beam line extends through the end station to another end station where experiments can be performed with the beam size of 20 microns or greater. The micro-beam end station is also equipped with capabilities for conventional ion beam techniques including RBS, NRA, and proton induced x-ray emission (PIXE). The +15° beam line is equipped with a raster scanner for ion implantation and ion beam modification of materials, and the end station is equipped with all the conventional ion beam capabilities. The -15° end station is designed to carry out routine analytical work. An NEC RC 43 end station is attached to this beam line. This end station is equipped with most of the standard ion beam analytical capabilities including RBS, NRA, PIXE, particle induced gamma emission (PIGE), proton elastic scattering analysis, scanning transmission ion microscopy (STIM), and ERDA.

**Surface Science and Catalysis Laboratory.** Three UHV surface chemistry systems designed for studies of the molecular-level chemistry of adsorbates on metal oxide surfaces reside in the Surface Science and Catalysis Laboratory. These systems are equipped with a number of spectroscopic tools to follow changes in adsorbate chemistry, including high-resolution electron energy loss spectroscopy (HREELS), SIMS, ultraviolet photoemission (UPS), XPS, AES, and LEED. In addition, both electron-stimulated (ESD) and temperature-programmed desorption (TPD) studies are routinely performed in some systems. Typical information obtainable in TPD experiments includes the quantity and nature (intact or dissociated molecule) of an adsorbed gas. In addition, estimates of the

sticking coefficient and the activation energy for desorption and/or reaction of the adsorbed molecule can be made. One of these systems has a combination of surface science and high-pressure catalysis capabilities and is capable of measuring gas/solid reaction rates under realistic, high-pressure (~1 atm) conditions using model, low surface area solid samples. Reaction rates as a function of temperature and varying reagent partial pressures also can be measured in this system.

**Catalytic Reactors.** The Reaction Engineering Laboratories are equipped with a variety of analytical capabilities and catalytic reactors including an RXM-100 multi-functional instrument and a Zeton Altamira reactor test stand. The RXM-100, a multi-functional instrument used for catalyst studies, combines UHV and high-pressure capabilities in a single instrument without compromising specifications or ease of use. A number of measurements can be made using this instrument including chemical adsorption, physical adsorption, BET surface area, pore size, pore distribution, and temperature programmed characterization (desorption, reduction, and oxidation). An on-line mass spectrometer, gas chromatograph, FTIR, and thermal conductivity detector can be used to analyze effluent gases. The instrument has the capability of running up to 10 different gases simultaneously. In addition, high-pressure reactions (up to 1000 psi) can be run within a few minutes of each other on the same system, with little change in system configuration. This system offers extensive flexibility in catalyst testing and decreases inefficiency and contamination problems that arise from transferring materials between systems and waiting for data from other sources. The Zeton Altamira reactor test stand comprises three types of reactors generally used in bench scale testing of catalysts: a fixed bed reactor, a Rotoberty reactor, and a continuous stirred tank reactor. This design allows users to evaluate catalyst performance and to study chemical reactions in various reactor configurations.

**X-Ray Diffraction.** The suite of x-ray diffraction (XRD) equipment in EMSL consists of four instruments: 1) a general-purpose XRD system for studying polycrystalline samples under ambient conditions, 2) a special applications XRD system with low- and high-temperature sample stages covering the range of -193°C to +1000°C, and 3) a four-circle XRD system. The general-purpose system is most often used to examine powder samples (x-ray powder diffraction [XRPD]), but can also be used to study certain types of thin films. In addition to its non-ambient capabilities, the special applications system is equipped to examine thin film samples in more detail, including grazing-incidence x-ray diffraction (GIXRD) and x-ray reflectivity (XRR) measurements. The four-circle system is typically configured for high-resolution x-ray diffraction (HRXRD) studies of epitaxial thin films. Additional applications of the four-circle system include stress measurements, texture analysis, GIXRD, and XRR.

**Chemically Selective Materials and Sensors.** The development and evaluation of sensor materials and chemical microsensors is supported by a wet chemistry laboratory for organic, polymer, and nanomaterial synthesis; a laboratory for evaluation of chemical sensor and sensor materials using automated vapor generation and blending systems; and a clean room with selected microfabrication capabilities. A variety of techniques for the application of sensing materials to sensor devices are available and numerous electronic test instruments are available in the sensing laboratories and the EMSL Instrument Development Laboratory. These capabilities are complemented by a range of surface analysis and characterization instruments as well as conventional analytical instrumentation in EMSL. Users may wish to

bring new sensing materials to EMSL for application to sensing devices and evaluation, while others may bring complete sensor systems with data collection to couple to our automated vapor generation systems. Research areas include sensor arrays, sensor materials design and synthesis, sensing material/analyte interactions, and chemometric methods.

**Microfabrication.** Microfabrication equipment provides a significant research and development capability in the areas of microstructures, microsensors, and microanalytical systems. Unlike highly automated industrial production equipment, this microfabrication equipment is flexible and multi-purpose in function. The equipment supports a variety of microprocessing activities that include thin film deposition, thermal treatments, micro-photolithography, chemical etching, inspection and characterization, bonding and packaging, and test and measurement.

**Nanobiotechnology Laboratory.** The Nanobiotechnology Laboratory is equipped with capabilities to synthesize single-enzyme nanoparticles, functionalize nanostructured matrices, and analyze the activity and stability of enzymes and single-enzyme nanoparticles. This new laboratory consists of various small instruments (such as a black box, a glove box, a Dean Stark system, and shakers), spectrophotometer, and spectrofluorometer. A variety of basic enzyme works can be performed in this laboratory, including enzyme modification, enzyme immobilization, and enzyme activity and stability measurement.

## Upgrades

**Sample Heating and Additional Sputter Disposition Capability.** The large area growth chamber in the sputter deposition laboratory has been upgraded with a facility to grow thin films at substrate temperatures more than 1000°C in various reactive gases like oxygen and nitrogen. In addition, another 4-inch sputter gun, which could run on DC as well as in RF sputtering mode, was added to the chamber. This upgrade enhances the flexibility of this chamber and the co-sputtering capability.

**Software and Hardware Enhancement for XRD Analysis.** Software packages were acquired to facilitate simulation and fitting of specular and diffuse x-ray reflectivity data and dynamical, high-resolution rocking curves. The structure of unknown samples can be effectively modeled using these programs. In addition, a motorized alignment stage was added to the special applications XRD system. This shaft-type stage replaced the original and comparatively primitive block-type adapter, the use of which necessitated realignment of the system when changing between the TTK450 low-temperature camera and any of the other sample platforms integral with that system. A custom fixture for collection of high-resolution, x-ray topography images was also designed and built for the four-circle XRD system.

**MBE Facility.** Because of its level of use, the oxygen plasma assisted MBE system (OPA-MBE) was upgraded with additional effusion cells. With the additional effusion cells, the total number of individually controlled MBE sources is seven: four electron beams and three effusion cells. In addition, new vibrating sample magnetometer instrumentation was purchased and installed in the MBE laboratory for magnetic measurements. The vibrating sample magnetometer includes a cryostat and high-temperature oven options for measurements from 8 K to 1300 K, full 720-degree rotation, and vector coils for determination of anisotropies.

**Enhancement of Sample Manipulation in Catalysis Chamber.** The sample manipulation capability of the UHV surface chemistry/catalysis chamber in the Surface Science and Catalysis Laboratory was enhanced by adding a new 14-inch rotating platform and a new xyz stage with  $\pm 1$ -inch x and y translations and a 24-inch z translation. In addition, an antichamber that will house the oxygen plasma source and metal deposition capabilities was also added.

## ***In Situ* Grazing-Incidence EXAFS Study of Pb(II) Chemisorption on Hematite (0001) and ( $\bar{1}102$ ) Surfaces**

***J Bargar,<sup>(a)</sup> T Trainor,<sup>(b,c)</sup> J Fitts,<sup>(b,d)</sup> S Chambers,<sup>(e)</sup> and G Brown, Jr.<sup>(a,b)</sup>***

***(a) Stanford Synchrotron Radiation Laboratory, Menlo Park, California***

***(b) Stanford University, Stanford, California***

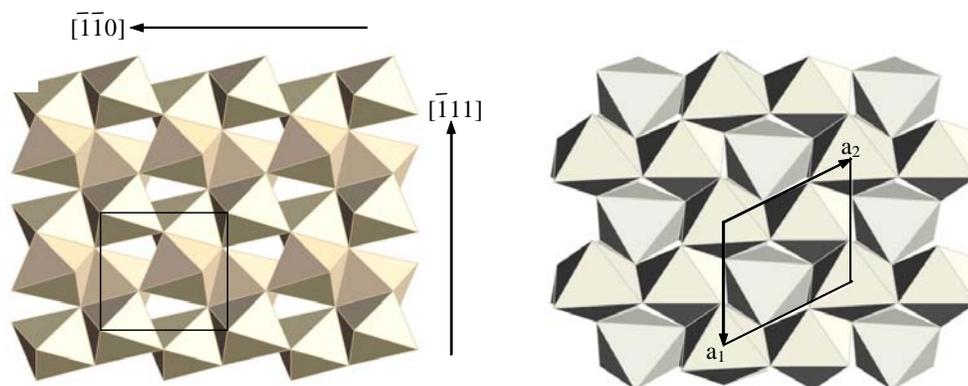
***(c) University of Alaska, Fairbanks, Alaska***

***(d) Brookhaven National Laboratory, Upton, New York***

***(e) Pacific Northwest National Laboratory, Richland, Washington***

Heavy-metal ion transport in natural waters is influenced by reactions between solute species and solid materials (e.g., mineral surfaces, humic materials, biomass). Adsorption of cations onto mineral surfaces is of major importance for heavy metal attenuation, even in biomass-rich systems. In particular, Fe- and Mn-oxides and (oxy)hydroxides are very common in the environment and have high sorptive capacities for metal ions in the pH ranges of most natural waters. The reactivity of a metal-oxide surface is controlled, in part, by the types and concentrations of surface sites exposed to aqueous solution. In addition, surface-site reactivity is a key factor in heterogeneous chemical processes such as catalysis, crystal growth, preparation of semiconductors, and energy storage in fuel cells. Little is known at present about the structure and composition of reactive sites on metal-oxide surfaces in contact with aqueous solutions, mostly because of the difficulty of probing such sites experimentally.

In this project, the structures of Pb(II) complexes adsorbed on two common hematite ( $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>) single-crystal surfaces [(0001) (C-cut) and ( $\bar{1}102$ ) (R-cut)] were studied using grazing-incidence (GI) x-ray absorption fine structure (XAFS) spectroscopy under *in situ* conditions (i.e., in the presence of bulk solution at ambient temperature and pressure) to obtain information on reactive surface sites to which Pb(II) preferentially binds and on the structure and composition of the Pb(II) surface complexes. The specimens were epitaxial films grown on sapphire substrates in the W.R. Wiley Environmental Molecular Sciences Laboratory (EMSL). Oligomeric Pb(II) complexes were found to bind in inner-sphere modes on both surfaces, which is in contrast with the binding of aqueous Pb(II) on  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (0001) surfaces where Pb(II) was found in previous GI-XAFS studies to bind in a dominantly outer-sphere mode, indicating a significant difference in reactivity of these two surfaces to Pb(II) (Bargar et al. 1997). This difference in reactivity to Pb(II) is explained on the basis of recent crystal truncation rod diffraction studies of the  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> and  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> C-cut surfaces in contact with bulk water, which found major structural differences between the surfaces of these two metal oxides. The structures for the two hematite surfaces used in this study are shown in Figure 1, and the structural results are summarized in Table 1.



**Figure 1.** Left: Polyhedral representation of the oxygen-terminated R-cut surface. Surface unit cell is outlined in black. Surface unit cell edge lengths are 5.038 Å for the edge parallel to  $[110]$  and 5.435 Å for the edge parallel to  $[111]$  (c-axis projection). Right: Polyhedral representation of the oxygen-terminated hematite C-cut surface. Unit cell edge-length is 5.038 Å.

**Table 1.** X-Ray Photoelectron Spectroscopy (XPS) and EXAFS results.

| Sample   | XPS                                |                              |                                | EXAFS    |             |            |
|--|------------------------------------|------------------------------|--------------------------------|----------|-------------|------------|
|  | $\Gamma$ (atoms /nm <sup>2</sup> ) | O                            | O <sub>aq</sub> <sup>(a)</sup> | Fe       | Pb          |            |
| Pb(II)/(0001)<br>(C-cut)                       |                                    | CN                           | 2.5 (.1)                       | 0.8      | 0.4 (.2)    | 2.8 (.6)   |
|  | 8.3 (1.0)                          | R(Å)                         | 2.24 (.01)                     | 2.51     | 3.81 (.06)  | 3.62 (.03) |
|  |                                    | $\sigma^2$ (Å <sup>2</sup> ) | 0.01                           | 0.01     | 0.01        | 0.01       |
| Pb(II)/( $\bar{1}102$ )<br>(R-cut)             |                                    | CN                           | 2.0 (.2)                       | 0.8 (.4) | 0.4 - 0.6   | 2.9 (.6)   |
|  | 7.2 (0.9)                          | R(Å)                         | 2.280 (.007)                   | 2.51     | 3.34 - 3.86 | 3.69 (.04) |
|  |                                    | $\sigma^2$ (Å <sup>2</sup> ) | 0.01                           | 0.01     | 0.01        | 0.01       |
| Pb(II)/Hematite<br>powder, pH 7 <sup>(b)</sup> |                                    | CN                           | 2.2 (.1)                       | --       | 0.5 (.1)    | --         |
|  | 1.2 - 6.0                          | R(Å)                         | 2.28 (.01)                     | --       | 3.28 (.02)  | --         |
|  |                                    | $\sigma^2$ (Å <sup>2</sup> ) | 0.01                           | --       | 0.01        | --         |

Explanation of table:  $\Gamma$  = sorption density, CN = coordination number, R = interatomic distance,  $\sigma^2$  = Debye-Waller factor. Estimated standard deviations are given in parentheses.

<sup>(a)</sup> This shell accounts for aqueous and outer-sphere species.

<sup>(b)</sup> From Bargar et al. (1998).

## References

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## Defect Diffusion and Irradiation-Induced Recovery in 6H-SiC

W Jiang,<sup>(a)</sup> W Weber,<sup>(a)</sup> Y Zhang,<sup>(b)</sup> S Thevuthasan,<sup>(b)</sup> and V Shutthanandan<sup>(b)</sup>

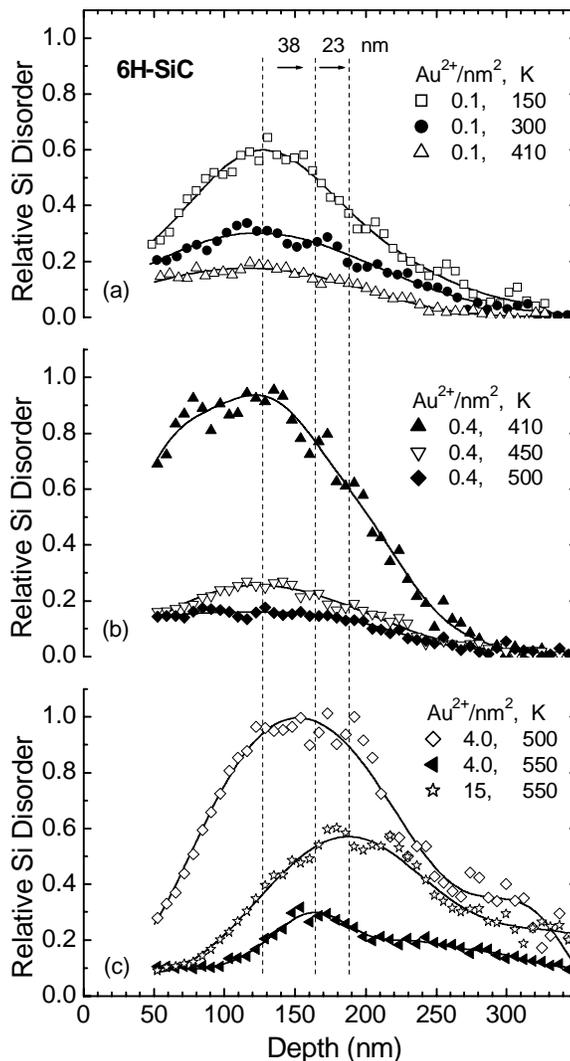
(a) Pacific Northwest National Laboratory, Richland, Washington

(b) W.R. Wiley Environmental Molecular Sciences Laboratory, Richland, Washington

Polytypes of silicon carbide (SiC) possess many outstanding properties that make the material a prominent candidate for high-temperature, high-power, and high-frequency microelectronic and optoelectronic devices. While ion implantation is a crucial process for dopant incorporation in device fabrication, it inevitably produces lattice disorder that is detrimental to device performance and must be removed by subsequent annealing processes before the implants are rendered electrically active. SiC also has been proposed for structural components in fusion reactors and cladding materials for gas-cooled fission reactors, where high-temperature, high-radiation environments are involved. Performance prediction of SiC in these harsh environments is essential for safe and economical operations. Thus, a fundamental understanding of the accumulation and recovery of irradiation damage is needed to advance the utilization of SiC in these technological applications.

### Defect Diffusion

Figure 1 shows the depth profiles of the Si disorder in SiC irradiated at different temperatures to fluences of 0.1, 0.4, 4.0, and 15  $\text{Au}^{2+}/\text{nm}^2$ . To compare damage peaks prior to full amorphization, lower fluences were selected for lower irradiation temperatures. The depth shifts of the damage peaks are minimal with the increase of fluence at and below 450 K. The maximum depth shift of the damage peak in this study occurs in the highest-dose sample at

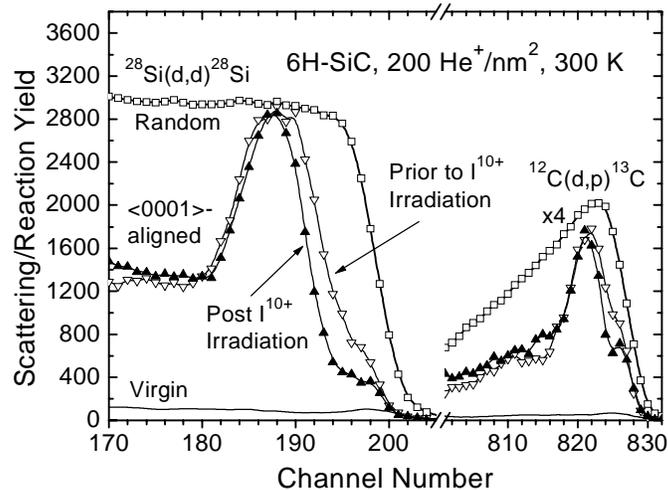


**Figure 1.** Depth profiles of relative Si disorder in 6H-SiC irradiated  $60^\circ$  off the surface normal with 2.0 MeV  $\text{Au}^{2+}$  ions at different temperatures to (a) 0.1  $\text{Au}^{2+}/\text{nm}^2$ , (b) 0.4  $\text{Au}^{2+}/\text{nm}^2$ , and (c) 4.0 and 15  $\text{Au}^{2+}/\text{nm}^2$ .

550 K and covers a total distance of  $\sim 61$  nm from 150 K to 550 K. A fraction of the depth shift ( $\sim 23$  nm) was due to the fluence increase from 4 to 15  $\text{Au}^{2+}/\text{nm}^2$  at 550 K, as shown in Figure 1. This shift may be attributed largely to thermal diffusion/migration of Si defects to the bulk. In addition to the defect diffusion, possible substitution of the implanted Au for the Si sublattice may also play a role in enhancing the Si disorder in the Au-rich region. For a fluence of 15  $\text{Au}^{2+}/\text{nm}^2$ , the maximum atomic fraction of the implanted Au atoms at  $\sim 170$  nm ( $\text{Au}^{2+}$  projected range) corresponds to  $\sim 14\%$ . From Figure 1(b) and (c), significant dynamic recovery appears to occur between 410 and 450 K for 0.4  $\text{Au}^{2+}/\text{nm}^2$  and between 500 and 550 K for 4  $\text{Au}^{2+}/\text{nm}^2$ .

### Dynamic Recovery

Also of interest is dynamic recovery during ion irradiation, because dynamic recovery controls behavior during irradiation. While there have been several investigations of dynamic recovery in 6H-SiC during ion implantation, there have been only a few studies of dynamic annealing on pre-existing damage states in 6H-SiC. Channeling spectra from 0.94 MeV  $\text{D}^+$  ions for 6H-SiC irradiated to 200  $\text{He}^+/\text{nm}^2$  at 300 K are shown in Figure 2 for the as-damaged state and after additional irradiation near 300 K with 50 MeV  $\text{I}^{10+}$  to  $\sim 0.1$  ions/ $\text{nm}^2$ . The spectra consist of two well-resolved yields from  $^{28}\text{Si}(\text{d,d})^{28}\text{Si}$  RBS/C and  $^{12}\text{C}(\text{d,p})^{13}\text{C}$  NRA/C, which readily allows simultaneous analysis of disorder on both the Si and C sublattices. From Figure 2, there is a significant irradiation-induced recovery of disorder on both the Si and C sublattices between the buried amorphous layer and the surface. In the vicinity of the damage peak, where the disorder level ( $\sim 0.92$ ) is close to the fully amorphous state, recovery is not observed. Beyond the buried amorphous layer, recovery is again observed, but at a lower rate for both sublattices. These recovery processes lead to a clear sharpening of the damage peak. The results from Figure 2 indicate that the high-energy heavy-ion beam-induced recovery is similar on both the Si and C sublattices in 6H-SiC.



**Figure 2.** Irradiation-induced recovery of disorder on both the Si and C sublattices in 6H-SiC using 50 MeV  $\text{I}^{10+}$  ions to a fluence of  $\sim 0.1$  ions/ $\text{nm}^2$  near room temperature.

## Irradiation-Induced Recovery in Gallium Nitride

W Jiang<sup>(a)</sup> and W Weber<sup>(a)</sup>

(a) Pacific Northwest National Laboratory, Richland, Washington

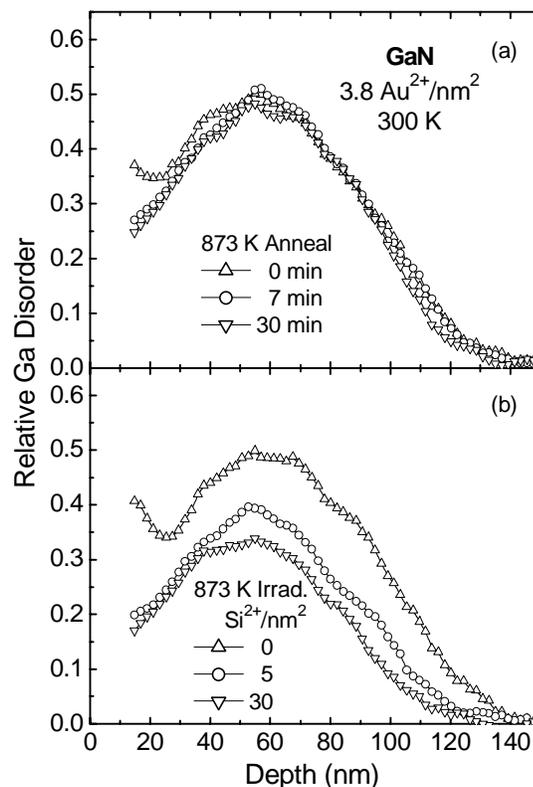
Gallium nitride (GaN) possesses a wide bandgap and other outstanding properties that have attracted extensive investigations of ion-implantation effects associated with the fabrication of advanced electronic and optoelectronic devices. One of the biggest challenges at present is the issue associated with the efficient removal of the implantation damage in GaN.

Further research is required to explore methods for damage recovery without involving harsh thermal annealing conditions. Recent studies have indicated that a reconstruction of amorphized GaN occurs following light-ion irradiation in GaN.

The depth profiles of the relative disorder on the Ga sublattice in GaN irradiated to  $3.8 \text{ Au}^{2+}/\text{nm}^2$ , followed by thermal annealing at 873 K for 7 and 30 min or  $\text{Si}^{2+}$  irradiation at 873 K to 5 and  $30 \text{ Si}^{2+}/\text{nm}^2$ , are shown in Figure 1. During thermal annealing, there is considerable recovery of defects in the near-surface region for the first 7 min; however, further annealing (30 min) does not lead to appreciable additional recovery. In the deeper region ( $>20 \text{ nm}$ ), the disorder profile is largely unaffected by the thermal annealing process. Because the nature of the damage is different near the surface (point defects and small defect clusters) and in the bulk peak region (planar defects and amorphous domains), the result suggests that the thermal recovery may be associated with a relatively higher concentration of mobile point defects.

The results of the  $\text{Si}^{2+}$  irradiation on Ga damage profiles are shown in Figure 1(b). In contrast to Figure 1(a), significant defect recovery occurs at all depths over the damage profile (15 to 130 nm) during the  $\text{Si}^{2+}$  irradiation to 5 ions/ $\text{nm}^2$ . Further recovery of the damage is observed for a larger fluence ( $30 \text{ Si}^{2+}/\text{nm}^2$ ).

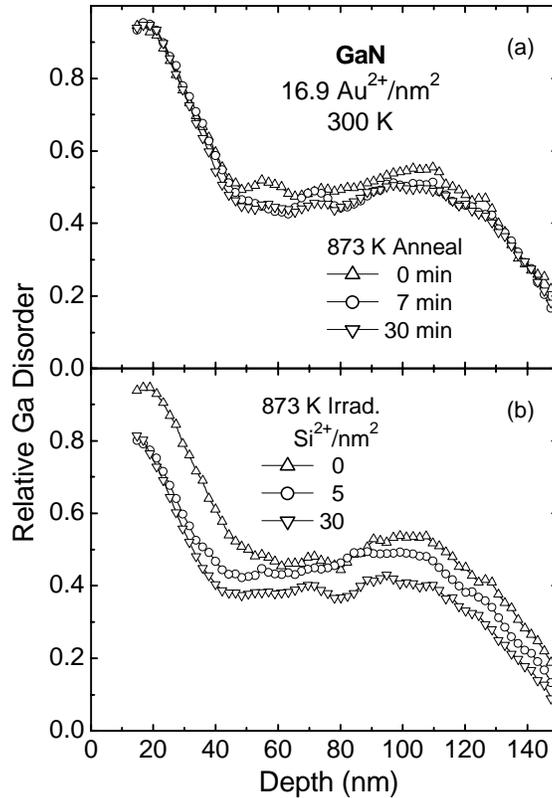
As indicated by a comparison of the results in Figures 1(a) and 2(b), dynamic annealing processes during irradiation can induce disorder recovery in GaN that cannot be attained by thermal processes at 873 K.



**Figure 1.** Depth profiles of the relative disorder on the Ga sublattice in GaN irradiated to  $3.8 \text{ Au}^{2+}/\text{nm}^2$  at 300 K: (a) annealed at 873 K for 7 and 30 min, and (b) irradiated at 873 K with 5.4 MeV  $\text{Si}^{2+}$  ions to 5 and 30 ions/ $\text{nm}^2$ .

In a more highly damaged GaN crystal ( $16.9 \text{ Au}^{2+}/\text{nm}^2$ ), thermal and dynamic behavior of the Ga disorder is shown in Figure 2. In general, no significant recovery of the Ga disorder profile occurs during thermal annealing at 873 K for times up to 30 min. In contrast to the behavior observed at the lower ion fluence in Figure 1(a), the higher level of damage in the surface regime (<50 nm) remains unchanged after the thermal annealing; this finding indicates that the local damage state is more thermally stable than that present at the lower ion fluence, which implies a lower concentration of mobile defects. Only a small reduction in the Ga disorder concentration is observed in the disorder saturation regime (between 50 and 120 nm) for the first 7 min and then remains at the damage level during further annealing at 873 K for 30 min. Within experimental error, this behavior is similar to the recovery behavior observed in this depth regime for the lower fluence specimen shown in Figure 2(a).

Recent transmission electron microscopy studies have indicated that this depth region contains a dense network of dislocation loops and highly disordered domains. According to Figure 2(a), most of these defects are thermally stable at 873 K, and there are insufficient point defects to stimulate any measurable recovery. The effect of irradiation with 5.4 eV  $\text{Si}^{2+}$  ions at 873 K on the recovery is shown in Figure 2(b). During irradiation to 5 ions/ $\text{nm}^2$ , there is significant recovery of the Ga disorder in the surface region, where the material was rendered nearly completely amorphous at the depth of ~18 nm. As in the case of the lower ion fluence specimen, this irradiation-enhanced recovery is most likely the result of Frenkel pair formation that stimulated recovery of stable defect clusters and/or amorphous domains. Recovery of amorphous domains would most likely be attributed to defect-stimulated epitaxial regrowth at any crystalline-amorphous interfaces. From Figures 2(a) and (b), thermal epitaxial recrystallization apparently does not occur at 873 K without the presence of simultaneous irradiation.



**Figure 2.** Depth profiles of the relative disorder on the Ga sublattice in GaN irradiated to  $16.9 \text{ Au}^{2+}/\text{nm}^2$  at 300 K: (a) annealed at 873 K for 7 and 30 min, and (b) irradiated at 873 K with 5.4 MeV  $\text{Si}^{2+}$  ions to 5 and 30 ions/ $\text{nm}^2$ .

## Analysis of Helium Retention in SiC as a Function of Irradiation and Annealing Using Ion Beams

R Smith,<sup>(a)</sup> V Shutthanandan,<sup>(b)</sup> Y Zhang,<sup>(b)</sup> L Bissell,<sup>(c)</sup> S Thevuthasan,<sup>(b)</sup> W Jiang,<sup>(d)</sup> and W Weber<sup>(d)</sup>

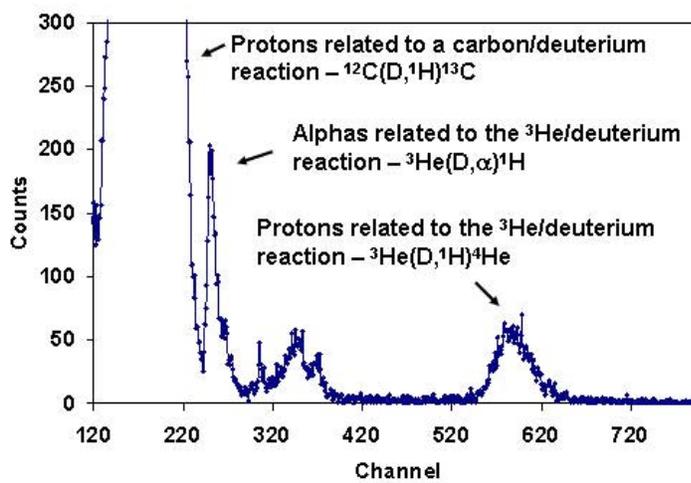
(a) Montana State University, Bozeman, Montana

(b) W.R. Wiley Environmental Molecular Sciences Laboratory, Richland, Washington

(c) Brigham Young University, Provo, Utah

(d) Pacific Northwest National Laboratory, Richland, Washington

Silicon carbide (SiC) has been proposed for coating applications in advanced reactor designs, so studies of its behavior in the presence of ion irradiation and fission products are of interest. Retention of helium in single-crystal 6H-SiC was studied as a function of irradiation dose and annealing temperature using both nuclear reaction analysis (NRA) and time-of-flight, elastic-recoil-detection analysis (TOF-ERDA). Ions of  ${}^3\text{He}^+$  were implanted at 40 keV in SiC to a depth of  $\sim 360$  nm at room temperature. NRA was performed using 1.0 MeV  $\text{D}^+$  and the  ${}^3\text{He}(\text{D},\alpha){}^1\text{H}$  reaction (Figure 1).



**Figure 1.** Nuclear reaction products from the  ${}^3\text{He}$ -implanted region of the SiC sample.

No change in the He profile was seen for the irradiation dose up to  $4 \times 10^{18} \text{ D}^+/\text{cm}^2$  at room temperature. Isochronal annealing of the SiC between 300 and 1400 K also showed no significant helium loss. Subsequently, a sample was irradiated with  $\text{D}^+$  at 900 K and again at 1100 K. No loss of helium associated with irradiation was seen for the dose up to  $1.4 \times 10^{18} \text{ D}^+/\text{cm}^2$ . Annealing the sample above 1400 K resulted in thermally activated loss of He. TOF-ERDA measurements were performed using 44 MeV  ${}^{129}\text{I}^{10+}$  for both irradiation and analysis. Depth profiles of the He distribution showed no significant change under I bombardment with an ion fluence up to  $\sim 10^{14} \text{ cm}^{-2}$  at room temperature. NRA was performed on the implanted sample subjected to TOF-ERDA analysis. The helium profiles for regions subjected to I irradiation were similar in shape to those with no I irradiation.

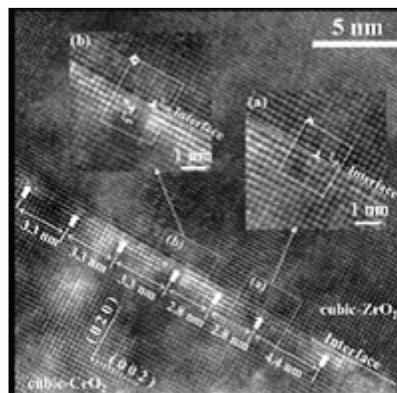
## Characterization of Single-Crystal Oxide Interfaces

C Wang,<sup>(a)</sup> S Thevuthasan,<sup>(a)</sup> V Shutthanandan,<sup>(a)</sup> F Gao,<sup>(b)</sup> D McCready,<sup>(a)</sup>  
S Chambers,<sup>(b)</sup> and C Peden<sup>(b)</sup>

(a) W.R. Wiley Environmental Molecular Sciences Laboratory, Richland, Washington

(b) Pacific Northwest National Laboratory, Richland, Washington

CeO<sub>2</sub> films doped with Y, Zr, Gd, Sm or a lanthanide element have been explored for use as oxygen storage components in catalytic converters and ionic conductors in solid oxide fuel cells. The properties of these films are normally controlled by the defects in the film and the interface. Defects in thin films are normally initiated from the interface between the film and the substrate. Therefore, defects and their spatial distribution within a film may be controlled by carefully tailoring the factors that determine the interface structure. Although the interface structure of  $\epsilon$ -CeO<sub>2</sub> film grown on  $\epsilon$ -ZrO<sub>2</sub> has been investigated previously by ion channeling experiments, a study dedicated to direct observation of the interface structure using transmission electron microscopy has not been carried out. We have used high-resolution transmission electron microscopy (HR-TEM) to characterize the interface structure of such a system.



**Figure 1.** High-resolution transmission electron micrograph of CeO<sub>2</sub>(100)/Y-ZrO<sub>2</sub>(100).

A cubic-CeO<sub>2</sub> thin film has been epitaxially grown on a yttria-stabilized ZrO<sub>2</sub> substrate by oxygen-plasma-assisted molecular beam epitaxy. Structural features of the interface between the films and the substrates were characterized by Rutherford Backscattering Spectrometry (RBS), x-ray diffraction, and HR-TEM (Figure 1). RBS channeling spectra for both CeO<sub>2</sub>/ZrO<sub>2</sub> show interface disorder-related scattering peaks believed to be due to interface misfit dislocations. Cross-sectional HR-TEM reveals that interfaces of both systems are similarly characterized by coherent regions that are separated by misfit dislocations periodically distributed along the interface. The experimentally observed dislocation spacing is approximately consistent with those calculated from the lattice mismatch. Similar misfit dislocations were observed in single-crystal  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>(0001) grown on  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>(0001) (Wang et al. 2002, 2003).

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- Wang CM, S Thevuthasan, F Gao, DE McCready, and SA Chambers. 2002. "The Characteristics of Interface Misfit Dislocations for Epitaxial  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> on  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>(0001)." *Thin Solid Films* 414:31-38.
- Wang CM, S Thevuthasan, and C Peden. 2003. "Interface Structure of an Epitaxial Cubic Ceria Film on Cubic Zirconia." *Journal of the American Ceramic Society* 86(2):363-365.

## Redox Properties of Water on the Oxidized and Reduced Surfaces of CeO<sub>2</sub>(111)

*M Henderson,<sup>(a)</sup> C Perkins,<sup>(a)</sup> M Engelhard,<sup>(b)</sup> S Thevuthasan,<sup>(b)</sup> and C Peden<sup>(a)</sup>*

*(a) Pacific Northwest National Laboratory, Richland, Washington*

*(b) W.R. Wiley Environmental Molecular Sciences Laboratory, Richland, Washington*

Ceria is an oxide with many interesting catalytic properties, with its ability to accommodate variable levels of bulk and surface reduction being one of the more important ones. This characteristic of ceria materials makes it suitable for use as a support for catalytic water on CeO<sub>2</sub>(111) applications where the reaction conditions fluctuate between oxidizing and reducing conditions. In many of these applications, water is present as either a reactant (e.g., the water-gas shift reaction) or as a product/spectator (e.g., selective oxidation reactions). Despite the potential importance of the water-ceria interaction, the system has not been studied much. The most notable property in the literature regarding the water-ceria interaction is the observation that water oxidizes Ce<sup>3+</sup> sites to Ce<sup>4+</sup>. In this study, we examine the chemistry of water on the oxidized and reduced surfaces of CeO<sub>2</sub>(111). Our results suggest that CeO<sub>2</sub>(111) thin films on yttria-stabilized ZrO<sub>2</sub>(111) [YSZ(111)] are considerably easier to vacuum reduce than is the (111) surface of bulk single crystals. This difference likely results from the >104 greater capacity of a millimeter-thick single-crystal sample to act as a bulk “reservoir” for oxygen vacancies compared to the capacity of the 500-Å film used here. Our results with water suggest that Ce<sup>3+</sup> sites on the reduced (111) surface are resistant to oxidation by water under ultra-high vacuum (UHV) conditions and that high-temperature treatment with water may instead promote further reduction of the surface. A portion of this work is briefly described below.

This summary describes the x-ray photoelectron spectroscopy (XPS) and temperature-programmed desorption (TPD) results from probing the surface chemistry of water on the oxidized and reduced surfaces of a 500-Å epitaxial CeO<sub>2</sub>(111) film grown on YSZ(111) (Figure 1). Results show that epitaxial films of CeO<sub>2</sub>(111) on YSZ(111) are readily reduced by annealing under UHV conditions at temperatures between 773

and 973 K (Henderson et al. 2003). XPS analysis indicates that the majority of reduction is located in the outer layer of the CeO<sub>2</sub>(111) film, with levels of surface reduction reflective of a suboxide of ceria achieved by annealing above 840 K. TPD and XPS studies indicate that water does not oxidize Ce<sup>3+</sup> sites on the reduced CeO<sub>2</sub>(111) surface under UHV conditions below 650 K. In fact, XPS results suggest that water exposure at 650 K increases the level of CeO<sub>2</sub>(111) surface reduction, presumably by stabilizing Ce<sup>3+</sup> sites at the surface that result from bulk-to-surface diffusion of oxygen vacancies. The reactivity of Ce<sup>3+</sup> surface sites toward water likely depends on the surface termination and morphology. In particular, while water was unable to oxidize Ce<sup>3+</sup> sites on atomically flat CeO<sub>2-x</sub>(111) surfaces, water has been observed to oxidize Ce<sup>3+</sup> sites on reduced CeO<sub>2</sub> powders and lattice mismatched films of

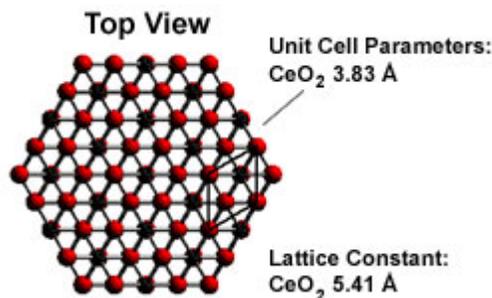


Figure 1. Surface structure of CeO<sub>2</sub>(111).

CeO<sub>2-x</sub>(111) on Ru(0001). In general, this finding implies that the diverse surfaces of crystalline nanoparticles of ceria may simultaneously support oxidation and reduction reactions of the same molecule on different parts of the particle, with the flow of electronic defects between different surfaces on the same particle potentially promoting resurfacing of the particle.

### Reference

Henderson MA, CL Perkins, MH Engelhard, S Thevuthasan, and CHF Peden. 2003. "Redox Properties of Water on the Oxidized and Reduced Surfaces of CeO<sub>2</sub> (111). *Surface Science* 526:1-18.

## Damage Accumulation and Recovery in $\text{Cd}_2\text{Nb}_2\text{O}_7$

W Jiang,<sup>(a)</sup> W Weber,<sup>(a)</sup> S Thevuthasan,<sup>(b)</sup> and L Boatner<sup>(c)</sup>

(a) Pacific Northwest National Laboratory, Richland, Washington

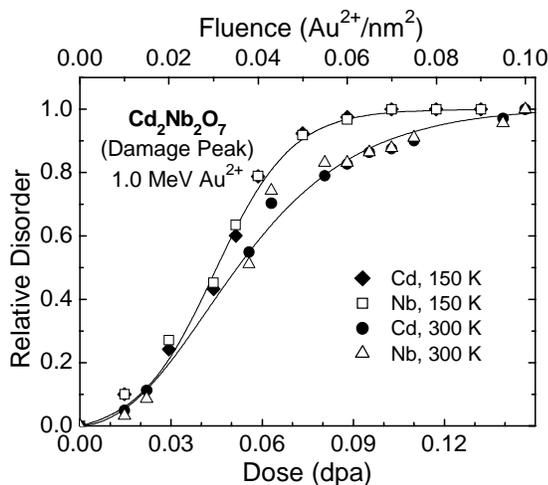
(b) W.R. Wiley Environmental Molecular Sciences Laboratory, Richland, Washington

(c) Oak Ridge National Laboratory, Oak Ridge, Tennessee

Pyrochlores are typically ternary oxides that crystallize into a cubic structure with the general chemical formula  $\text{A}_2\text{B}_2\text{O}_7$ , where elements A and B are trivalent (or divalent) and tetravalent (or pentavalent) cations, respectively. Elemental versatility in the crystal structure ensures that pyrochlore compounds exhibit a variety of interesting properties, including ionic conduction, catalytic activity, and fluorescence. In addition, rare-earth titanate and zirconate pyrochlores are recognized as having a great potential for immobilization and permanent disposal of high-level radioactive nuclear wastes due to possible substitutions of radionuclide species, such as actinide elements, for cation A. This study addresses the damage accumulation in  $\text{Au}^{2+}$ -irradiated  $\text{Cd}_2\text{Nb}_2\text{O}_7$  at 150 K and 300 K and room-temperature recovery of defects in  $\text{Cd}_2\text{Nb}_2\text{O}_7$  (Jiang et al. 2003).

### Damage Accumulation

The dependence of the relative Cd and Nb disorder (at the damage peak) on dose (dpa) and ion fluence ( $\text{Au}^{2+}/\text{nm}^2$ ) is shown in Figure 1 for  $\text{Au}^{2+}$  irradiations at 150 and 300 K, where full amorphization corresponds to a value of unity (1.0) on the vertical scale. The crystal used for irradiation at 150 K had a high crystalline quality; however, there is a measurable pre-existing defect concentration in the specimen used for 300 K irradiation in this study, and the relative disorder on both the Cd and Nb sublattices in the near-surface regime has been estimated to be  $\sim 0.1$ . To compare the disordering behavior of  $\text{Cd}_2\text{Nb}_2\text{O}_7$  at 150 and 300 K an empirical method was used to subtract the offset of the relative disorder based on the expression  $[S(D)-S(0)]/[1-S(0)]$ , where  $S(D)$  is the as-measured relative disorder at dose  $D$ , and  $S(0)$  is the pre-existing relative disorder at  $D=0$ . This method normalizes the measured relative disorder in such a way that the corrected relative disorder is set to 0 at zero dose and to 1 at the amorphization dose. The corrected data are also plotted in Figure 1 as a function of dose for the purpose of comparison.



**Figure 1.** Relative disorder on the Cd and Nb sublattices as a function of dose at the damage peak in  $\text{Cd}_2\text{Nb}_2\text{O}_7$  irradiated at 150 and 300 K with 1.0 MeV  $\text{Au}^{2+}$  ions. The solid lines are sigmoidal fits to the data for both sublattices.

Results in Figure 1 show that the disordering rate on the Cd and Nb sublattices at each individual dose is nearly the same at both 150 and 300 K, which is consistent with the

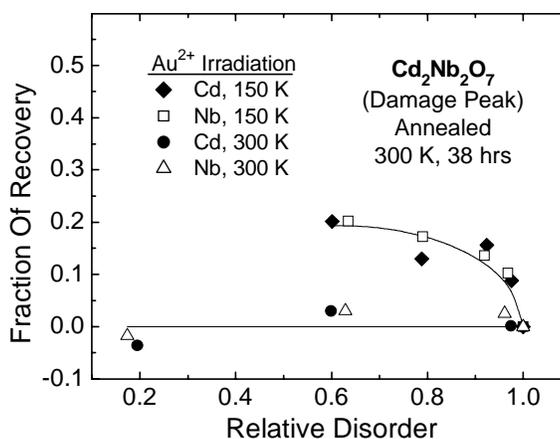
prediction by the SRIM-97 software. The solid lines in Figure 1 are generic sigmoidal fits to the Cd and Nb data at 150 and 300 K, which are generally consistent with the direct-impact, defect-stimulated model for amorphization. From Figure 1, there is a general dose shift to a higher value for irradiation at 300 K compared to irradiation at 150 K. This behavior is primarily associated with the dynamic recovery processes during  $\text{Au}^{2+}$  irradiation at 300 K. The amorphization dose increases from 0.10 dpa at 150 K to 0.15 dpa at 300 K. The amorphization dose for  $\text{Cd}_2\text{Nb}_2\text{O}_7$  irradiated with  $\text{Ne}^+$  and  $\text{Xe}^{2+}$  at room temperature were previously shown to be 0.65 and 0.22 dpa, respectively, where the same threshold displacement energy ( $E_d = 25$  eV for each sublattice) as we assumed in this study was adopted in that SRIM-97 simulation. These results indicate a systematic decrease in the amorphization dose at room temperature with increasing ion mass (damage energy density).

### Room-Temperature Recovery

Figure 2 shows the recovered fraction of defects at the damage peak in  $\text{Cd}_2\text{Nb}_2\text{O}_7$  irradiated at 150 and 300 K during thermal annealing at room temperature for 38 hours.

There is considerable recovery of the defects, produced at 150 K, for damage levels between 0.6 and 0.97. The recovery fraction appears to decrease with the increase of relative disorder, ranging from 20% at relative disorder of 0.6 to 10% at 0.97; it vanishes at 1.0, indicating that recrystallization of the fully amorphized  $\text{Cd}_2\text{Nb}_2\text{O}_7$  did not occur at room temperature. It is expected, however, that there is a

certain degree of room-temperature recovery at the low doses. In contrast to the significant recovery of the defects produced at 150 K, there is negligible room-temperature recovery of the defects produced at 300 K over the damage levels from 0.2 to 1.0, as shown in Figure 2. This result indicates that these defects are stable at room temperature.



**Figure 2.** Room-temperature recovery (38 hours) of defects at the damage peak in  $\text{Cd}_2\text{Nb}_2\text{O}_7$  irradiated at 150 and 300 K with 1.0 MeV  $\text{Au}^{2+}$  ions.

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Jiang W WJ Weber, S Thevuthasan, and LA Boatner. 2003. "Effect of Ion Irradiation in Cadmium Niobate Pyrochlores." *Nuclear Instruments and Methods in Physics Research B* 207:85-91.

## Ion-Beam-Synthesized, Room-Temperature-Diluted Magnetic Semiconductor: Co-Implanted Rutile $\text{TiO}_2(110)$ Single Crystal

V Shutthanandan,<sup>(a)</sup> S Thevuthasan,<sup>(a)</sup> S Heald,<sup>(b)</sup> T Droubay,<sup>(b)</sup> M Engelhard,<sup>(a)</sup> T Kaspar,<sup>(b)</sup> D McCready,<sup>(a)</sup> L Saraf,<sup>(a)</sup> S Chambers,<sup>(b)</sup> B Mun,<sup>(c)</sup> N Hamdan,<sup>(c)</sup> P Nachimuthu,<sup>(c)</sup> B Taylor,<sup>(e)</sup> R Sears,<sup>(d)</sup> and B Sinkovic<sup>(d)</sup>

(a) W.R. Wiley Environmental Molecular Science Laboratory, Richland, Washington

(b) Pacific Northwest National Laboratory, Richland, Washington

(c) Advanced Light Source, Lawrence Berkeley National Laboratory, Berkeley, California

(d) University of Connecticut, Storrs, Connecticut

There is a growing interest in diluted magnetic semiconductors (DMS) for the emerging field of spintronics. For example, the ability to efficiently inject spin-polarized carriers into non-magnetic semiconductor heterostructures creates new and exciting possibilities for utilizing DMS materials in spin-based devices. Several III-V and II-VI semiconductor materials doped with magnetic transition elements have been explored as candidates for DMS applications. Although these materials show promising behavior, they exhibit Curie temperatures of  $\sim 170$  K or less. It has recently been demonstrated that certain oxide semiconductors doped with magnetic transition elements show room-temperature ferromagnetism. Titanium dioxide in both anatase and rutile phases appears to be among the most promising oxide semiconductors for DMS applications. Unlike conventional semiconductors, these materials exhibit Curie temperatures of at least 400 K, making them the most magnetically robust oxide semiconductors discovered to date. We report the results from  $5.0 \times 10^{16}$   $\text{Co}^+/\text{cm}^2$  implanted rutile  $\text{TiO}_2(110)$ .

A typical hysteresis loop taken from the Co-implanted  $\text{TiO}_2(110)$  rutile sample at room temperature with a Lakeshore 7300 vibrating sample magnetometer (VSM) is shown in Figure 1. The magnetic field was oriented perpendicular to the sample surface during this experiment. However, there were no noticeable differences between in-plane or perpendicular magnetization loops. The sample clearly shows ferromagnetic behavior with a saturation magnetization of  $\sim 0.4 \mu_B/\text{Co}$  atom, assuming that all the Co atoms contribute to the magnetization. This value is less than that of pure Co metal ( $1.7 \mu_B/\text{Co}$  atom) and that found for Co-doped  $\text{TiO}_2$  anatase grown by molecular beam epitaxy ( $\sim 1.2 \mu_B/\text{Co}$  atom) but comparable to Co-doped rutile  $\text{TiO}_2$  films ( $0.3$  to  $0.6 \mu_B/\text{Co}$  atom) grown by other techniques. The coercive field was found to be  $\sim 100$  Oe with a remanence of  $\sim 20\%$ .

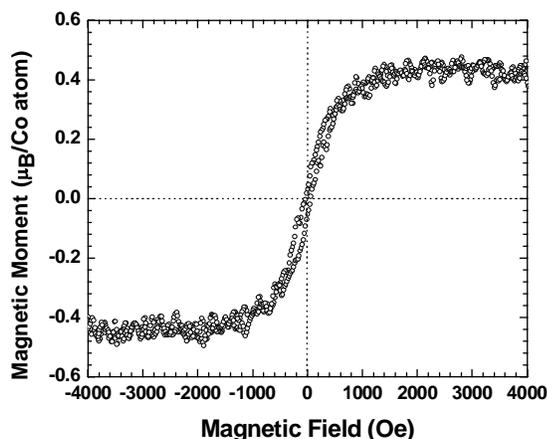
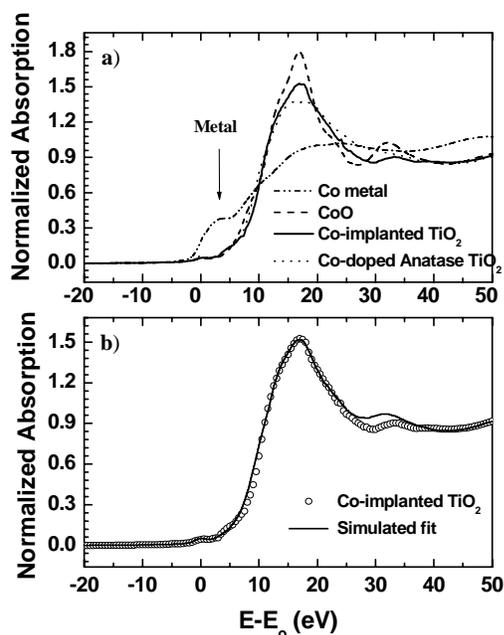


Figure 1. VSM hysteresis loop for  $\sim 2$  at. % Co-implanted  $\text{TiO}_2$  (110) rutile.

We used Co L-edge and K-edge x-ray absorption near-edge structure (XANES) to obtain the charge state of Co in  $\text{TiO}_2$ . Co K-edge XANES spectra from Co-implanted rutile  $\text{TiO}_2$  and various Co-containing reference materials (Co metal, CoO, and Co-doped anatase) are shown in Figure 2(a). The spectral feature at  $E-E_0 = 2-3$  eV is unique to Co metal and can be effectively used, along with the large chemical shift associated with oxidized Co, to investigate the presence of Co metal. This feature is different from the small feature observed at  $E-E_0 = 0$  eV, which is caused by a  $1s$  to  $3d$  pre-edge resonance that becomes dipole allowed when Co is non-octahedrally coordinated to O ligands. Hence, it is clear that the low-energy feature associated with Co(O) is absent in spectra for Co implanted  $\text{TiO}_2$ , as well as in spectra for oxidized Co (Co-doped anatase and CoO). Thus, there is no detectable Co(O) present in Co-implanted  $\text{TiO}_2$  rutile. We have carried out a weighted fitting procedure to fit the experimental K-edge XAS data. In this fitting procedure, the weighted averages of Co (metal), CoO, and Co-doped anatase spectra were used to fit the experimental data. The result obtained from this fitting procedure is shown in Figure 2(b). The best fit is obtained for 0% Co, 64% Co-doped anatase, and 36% CoO. Hence, Co is in the +2 formal oxidation states in the sample, and the local structural environment, to which XANES is highly sensitive, can be represented by an admixture of Co-doped anatase and CoO reference spectra. Based on the presence of a CoO peak in the x-ray diffraction scan and the 36% CoO weighting factor in the Co K-edge XANES simulation, it is highly likely that some of the implanted Co is present as a CoO secondary phase. Because CoO is antiferromagnetic, the total saturation magnetization of  $0.4 \mu_B/\text{Co}$  atom is a lower limit in that some fraction of the Co (that in CoO) is not contributing to the ferromagnetic response.



**Figure 2.** (a) Co K-edge XANES spectra from Co metal, CoO,  $\text{CoTiO}_3$ , and Co-implanted rutile  $\text{TiO}_2$ . (b) Co K-edge XANES spectra (open circles) along with simulation consisting of a weighted average (solid line) of Co spectra from various reference materials.

## Growth of Cr-doped $\text{TiO}_2$ Films in the Rutile and Anatase Structure by Oxygen-Plasma-Assisted Molecular Beam Epitaxy

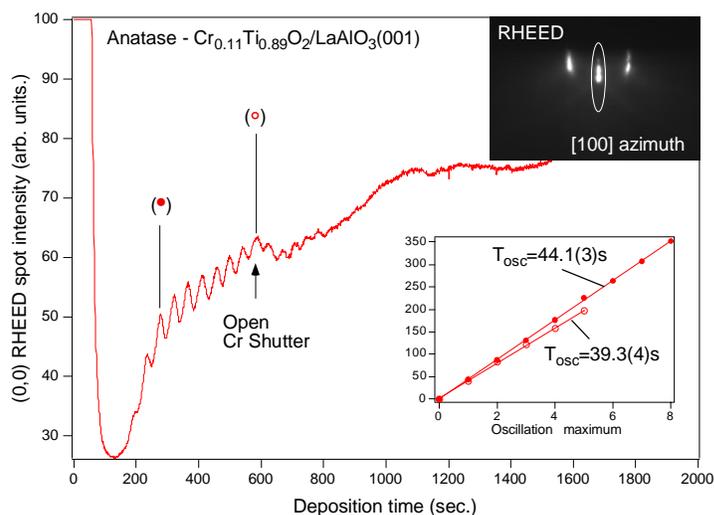
*J Osterwalder,<sup>(a)</sup> T Droubay,<sup>(b)</sup> T Kaspar,<sup>(b)</sup> J Williams,<sup>(b)</sup> and S Chambers<sup>(b)</sup>*

*(a) Physik-Institut, Universität Zürich, Switzerland*

*(b) Pacific Northwest National Laboratory, Richland, Washington*

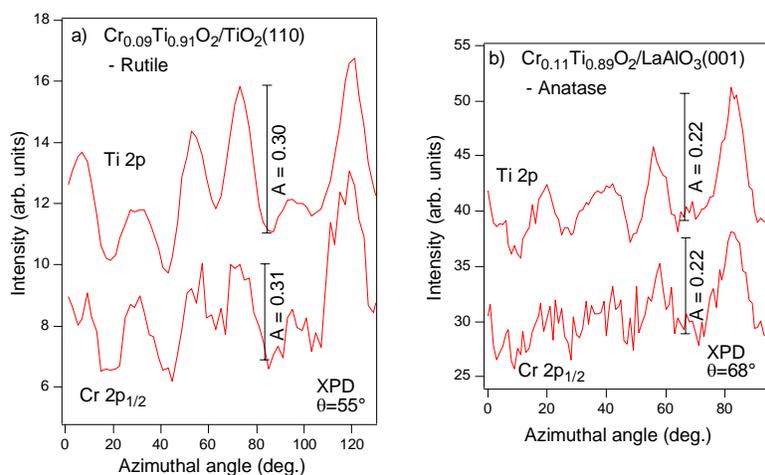
As part of a search for new spintronic materials, and encouraged by recent successes in the  $\text{Co}_x\text{Ti}_{1-x}\text{O}_2$  anatase system, highly ordered films of  $\text{Cr}_x\text{Ti}_{1-x}\text{O}_2$  in both the rutile and anatase structure and for several Cr concentrations ranging from  $x=0.02$  to 0.13 were grown by oxygen-plasma-assisted molecular beam epitaxy. Chromium has been found to be a suitable dopant for several IV, III-V, and II-VI semiconductors, producing diluted magnetic semiconductor (DMS) materials with ferromagnetic Curie temperatures reaching or exceeding room temperature in several cases.

Reflection high-energy electron diffraction (RHEED) and atomic force microscopy (AFM) measurements show that rutile films grown on  $\text{TiO}_2(110)$  rutile substrates are extremely flat, while the anatase films grown on  $\text{LaAlO}_3(001)$  substrates are fairly rough. From a careful analysis of the RHEED intensity oscillations during growth, before and after opening the Cr shutter (Figure 1), a precise calibration of this particular film, as well as its thickness could be obtained. The inset in the figure shows the deposition times where the oscillation maxima occur, measured from some offset time before or at the time of the Cr shutter opening. Linear regression to these data points leads to oscillation periods  $T_{\text{osc}}$  of 44.1(3) s and 39.3(4) s, respectively. The additional flux from the Cr source accounts for the faster film growth and, thus, the shorter oscillation period. This observation indicates a uniform distribution of Cr throughout the film, at least for this initial stage of the growth. Cr concentrations for other films were obtained from x-ray photoelectron spectroscopy core-level intensity ratios, using this reference film for absolute calibration.



**Figure 1.** Intensity of the specular RHEED spot during the growth of a  $\text{Cr}_{0.11}\text{Ti}_{0.89}\text{O}_2$  anatase film on  $\text{LaAlO}_3(001)$  as a function of deposition time. The inset in the upper right corner shows the substrate RHEED pattern at the outset of the film growth. The inset in the bottom right corner displays the positions of intensity oscillation maxima before (filled circles) and after (open circles) opening the Cr shutter.

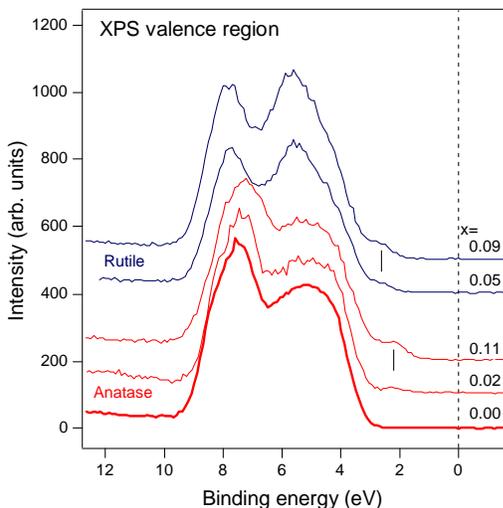
For both rutile and anatase films, x-ray photoelectron diffraction data of the Cr 2p level (Figure 2), taken *in situ* but after completion of the film growth, exhibit the same patterns and the same modulation amplitudes as those observed for Ti 2p, providing a strong indication that a large fraction of the Cr atoms occupy substitutional lattice sites in both structures.



**Figure 2.** (a) X-ray photoelectron diffraction azimuthal scan of Ti 2p and Cr 2p<sub>1/2</sub> emission from a Cr<sub>0.09</sub>Ti<sub>0.91</sub>O<sub>2</sub> rutile film. (b) Same, but from a Cr<sub>0.11</sub>Ti<sub>0.89</sub>O<sub>2</sub> anatase film. The absolute anisotropies, A, of a prominent feature are indicated for all curves.

Three different spectroscopic investigations addressing the question of the charge state of the Cr ions were carried out. The Cr 2p spectra, a Cr 3d-related dopant signal above the valence band of TiO<sub>2</sub> (see Figure 3), and the Cr K-shell x-ray absorption spectrum are characteristic of Cr<sup>3+</sup> ions. The Cr 3d states appear at slightly different binding energies for the two structures: 2.6(1) eV for rutile and 2.2(1) eV for anatase. For rutile, this brings the Cr 3d level to a position of 0.3 eV above the top of the valence band.

Preliminary vibrating sample magnetometer measurements at room temperature on the Cr<sub>0.11</sub>Ti<sub>0.89</sub>O<sub>2</sub> anatase film produced a clear ferromagnetic signal, with about half a Bohr magneton saturation moment per Cr ion, and a coercivity of 110 gauss. Further experiments for confirming these promising results are underway before Cr-doped anatase films can be announced as a new dilute DMS.



**Figure 3.** Monochromatized Al K $\alpha$  excited x-ray photoelectron spectroscopy valence spectra for Cr<sub>x</sub>Ti<sub>1-x</sub>O<sub>2</sub> anatase (bottom three curves) and rutile (top two curves) films for varying Cr concentration x. Vertical marks indicate the positions of Cr 3d states.

## Damage Accumulation and Amorphization in Samarium Titanate Pyrochlore

Y Zhang,<sup>(a)</sup> V Shutthanandan,<sup>(a)</sup> R Devanathan,<sup>(b)</sup> S Thevuthasan,<sup>(a)</sup> D McCready,<sup>(a)</sup> J Young,<sup>(a)</sup> and W Weber<sup>(b)</sup>

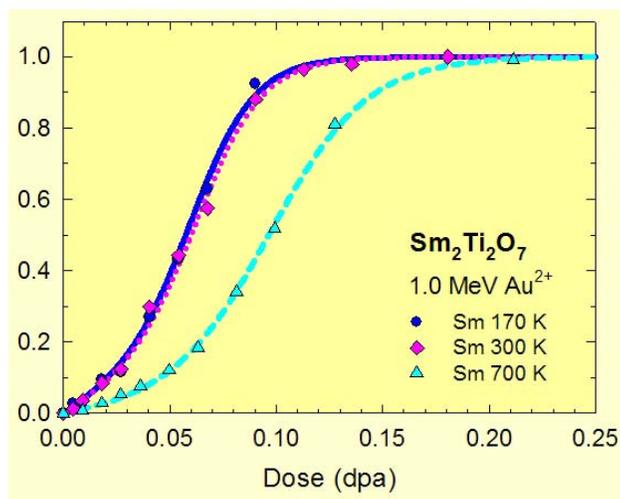
(a) W.R. Wiley Environmental Molecular Sciences Laboratory, Richland, Washington

(b) Pacific Northwest National Laboratory, Richland, Washington

Materials of composition  $A_2B_2O_7$  with the pyrochlore structure have remarkable elemental versatility, which makes these materials of considerable interest for the immobilization of actinide-rich nuclear waste. As a result of alpha decay, actinide-bearing phases will be subjected to considerable self-radiation damage. Radiation damage from alpha decay can result in amorphization, macroscopic swelling, and order-of-magnitude increases in dissolution rates; these structural changes significantly affect the long-term performance of nuclear waste forms.

Irradiation with gold ions was recently used to simulate alpha-recoil damage in samarium titanate pyrochlore ( $Sm_2Ti_2O_7$ ) single crystals (Zhang et al. 2004) using the 3.0-MV tandem accelerator facility in the W. R. Wiley Environmental Molecular Sciences Laboratory. The irradiation-induced defects are, for the first time, quantitatively characterized by channeling Rutherford backscattering spectrometry to achieve a better understanding of damage accumulation processes, which is essential to predicting long-term performance of  $A_2B_2O_7$  pyrochlores used to immobilize the actinides.

Damage accumulation under 1.0-MeV  $Au^{2+}$  irradiation at 170, 300, and 700 K is shown in Figure 1. The results indicate that the atomic disorder increases nonlinearly with dose, which is described well by a disorder accumulation model. Based on the fit to the disorder accumulation model, defect-stimulated amorphization is a primary mechanism leading to amorphization in  $Sm_2Ti_2O_7$ .



**Figure 1.** Relative samarium disorder at the damage peak as a function of dose for  $Sm_2Ti_2O_7$  irradiated by 1.0-MeV  $Au^{2+}$  at 170, 300, and 700 K.

The critical dose for amorphization in  $Sm_2Ti_2O_7$  under 0.6-MeV  $Bi^+$  irradiation was studied by *in situ* transmission electron microscopy (TEM) over a temperature range of 30 to 950 K. The selected area electron diffraction (SAED) patterns obtained from samples irradiated at 950 K are shown in Figure 2, which is representative of the general amorphization behavior observed at lower temperature irradiations. With increasing dose, the intense diffraction spots begin to fade, and amorphous halos appear in the SAED patterns. The pyrochlore

crystal structure, as shown by the diffraction pattern, gradually amorphizes, all the diffraction spots vanish, and only the amorphous halos remain.

The critical dose for amorphization in  $\text{Sm}_2\text{Ti}_2\text{O}_7$  under irradiation with 1.0-MeV  $\text{Au}^{2+}$  and 0.6-MeV  $\text{Bi}^+$  is shown in Figure 3 as a function of temperature. Also included are the TEM results for  $\text{Gd}_2\text{Ti}_2\text{O}_7$  irradiated with 0.6-MeV  $\text{Bi}^+$  and due to alpha decay. Despite the six orders of magnitude difference in damage rates, the good agreement between the amorphization doses in  $\text{Sm}_2\text{Ti}_2\text{O}_7$  and  $\text{Gd}_2\text{Ti}_2\text{O}_7$  under heavy ion irradiation and in  $\text{Gd}_2\text{Ti}_2\text{O}_7$  due to  $^{244}\text{Cm}$  decay indicate that

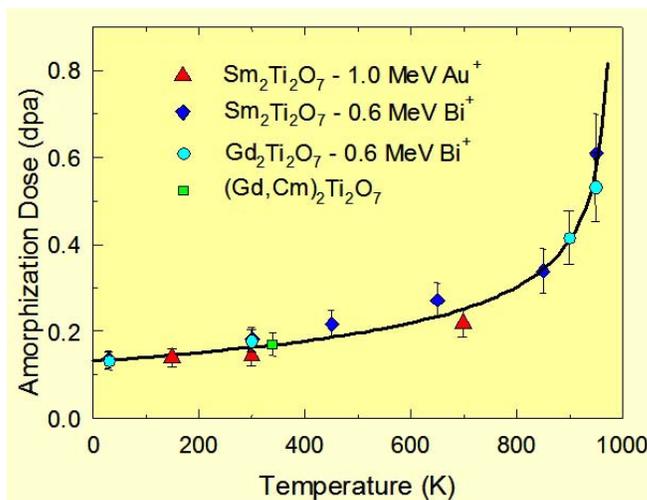
amorphization due to heavy-ion energy deposition is relatively independent of dose rate at about room temperature. As a result, the model of damage accumulation and amorphization under heavy-ion irradiation in rare-earth titanates can be used to assess the impact of alpha-decay events on long-term performance.

## Reference

Zhang Y, WJ Weber, V Shutthanandan, R Devanathan, S Thevuthasan, C Balakrishnan, and DM Paul. 2004. "Damage Evolution on Sm and O Sublattices in Au-Implanted Samonium Titanate Phyrochlore." *Journal of Applied Physics* 95:28-72.



**Figure 2.** Diffraction patterns of  $\text{Sm}_2\text{Ti}_2\text{O}_7$  irradiated with 0.6-MeV  $\text{Bi}^+$  at 950 K. (a) Original, (b) 0.34 dpa, and (c) 0.61 dpa.



**Figure 3.** Critical dose for amorphization of  $\text{Sm}_2\text{Ti}_2\text{O}_7$  and  $\text{Gd}_2\text{Ti}_2\text{O}_7$  irradiated by 1.0-MeV  $\text{Au}^{2+}$ , and 0.6-MeV  $\text{Bi}^+$ . Also included are results for amorphization in  $\text{Gd}_2\text{Ti}_2\text{O}_7$  doped with 3 wt%  $^{244}\text{Cm}$ .

## Growth and Properties of MBE Grown Ferromagnetic Fe-Doped TiO<sub>2</sub> Rutile Films on TiO<sub>2</sub>(110)

*Y Kim,<sup>(a)</sup> S Thevuthasan,<sup>(b)</sup> T Droubay,<sup>(c)</sup> A Lea,<sup>(b)</sup> C Wang,<sup>(b)</sup> V Shutthanandan,<sup>(b)</sup> S Chambers,<sup>(c)</sup> R Sears,<sup>(d)</sup> B Taylor,<sup>(d)</sup> and B Sinkovic<sup>(d)</sup>*

*(a) Hanbat National University, Korea*

*(b) W.R. Wiley Environmental Molecular Sciences Laboratory, Richland, Washington*

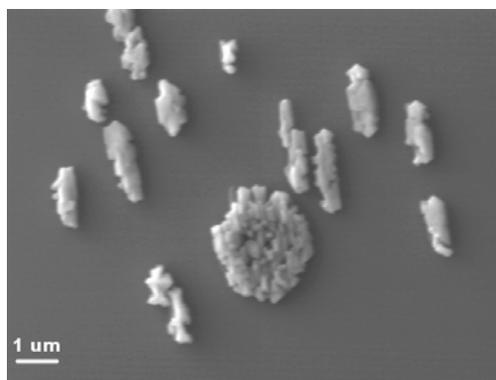
*(c) Pacific Northwest National Laboratory, Richland, Washington*

*(d) University of Connecticut, Storrs, Connecticut*

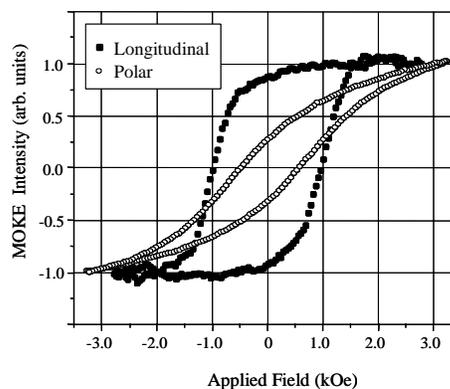
There is growing interest in thermally robust diluted magnetic semiconductors (DMS) for a number of device concepts in spintronics. Although a number of materials have been investigated, III-V and II-VI semiconductors doped with magnetic transition elements have received the most attention in the past. Oxide semiconductors doped with magnetic transition elements are another promising class of materials. In particular, titanium dioxide appears to be the most promising candidate in terms of exhibiting a high Curie temperature. Building on our experience in the growth and characterization of Co-doped TiO<sub>2</sub> anatase by oxygen-plasma assisted molecular beam epitaxy (OPA-MBE), we have investigated the growth of Fe-doped TiO<sub>2</sub> on rutile TiO<sub>2</sub>(110) substrates.

The atomic force microscopy (AFM) and scanning-electron-microscopy (SEM) images obtained in a self-assembled monolayer for  $x = 0.07$  reveal the presence of tall islands on an otherwise flat film surface. A typical SEM image is shown in Figure 1. Isolated clusters of various sizes are clearly visible. Flat and island areas were selected from this image for compositional analysis by SAM. The SAM analyses show that the clusters are Ti-poor and Fe-rich while no measurable amount of Fe is found in the flat areas of the film.

Magneto-optical Kerr effect (MOKE) and x-ray magnetic circular dichroism (XMCD) measurements are presented in Figures 2 and 3, respectively, for an  $x = 0.07$  film. Magnetic hysteresis loops recorded both perpendicular (polar MOKE) and parallel (longitudinal MOKE) to the sample surface at 300 K show clear ferromagnetic behavior at room temperature. The comparison of the two loops also indicates the easy axis to be in-plane with the remanent magnetization of  $\sim 80\%$  and a coercivity slightly greater than 1000 Oe. Additional longitudinal MOKE



**Figure 1.** Secondary electron micrograph of a 7% Fe-doped film surface. The lateral dimensions are  $\sim 115 \times 86$  nm.



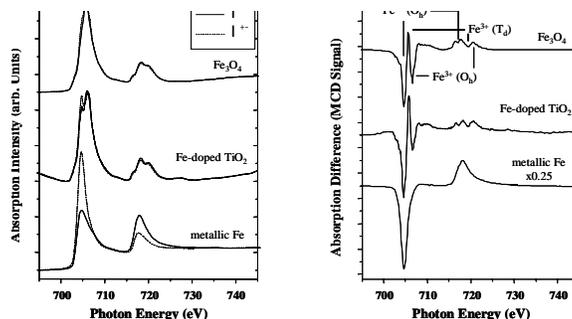
**Figure 2.** MOKE measurements recorded in longitudinal (in-plane) and polar (out-of-plane) geometry.

measurements with magnetization direction along different in-plane azimuths show no significant changes in their hysteresis loops.

To determine the charge state of Fe in the film, Fe L-edge near-edge x-ray absorption fine structure (NEXAFS) curves were collected using total electron yield detection. The samples were magnetized *in situ*, and measurements were performed in the remanent magnetization state by switching the

helicity of the circularly polarized light produced by the elliptically polarized undulator of the beamline BL4.0.2 at the Advanced Light Source (ALS). NEXAFS curves collected with two light polarizations from a well-ordered, single-crystal magnetite  $\text{Fe}_3\text{O}_4(001)$  film, a 7% Fe-doped  $\text{TiO}_2$  film, and Fe metal are shown in Figure 3(a).

The XMCD is presented in Figure 3(b) as difference spectra collected with the two x-ray polarizations for the three solids. The XMCD spectra are shown on the same scale except for the metallic Fe, as indicated. Contributions from different Fe-ion sites of ferrimagnetic  $\text{Fe}_3\text{O}_4$  are also indicated; the  $\text{Fe}^{2+}$  ions in the octahedral sites (spin-up) and  $\text{Fe}^{3+}$  ions from the tetrahedral (spin-down) and octahedral sites (spin-up) are consistent with previous reports. Figure 3(b) clearly shows that the XMCD spectrum from the 7% Fe-doped  $\text{TiO}_2$  film is very similar to the one from the single-crystal magnetite film in that all the spectral features are seen. However, we note that the line-shape from the 7% Fe-doped  $\text{TiO}_2$  film appears to exhibit a slight increase in the population of  $\text{Fe}^{2+}$  octahedral and  $\text{Fe}^{3+}$  tetrahedral sites with respect to the  $\text{Fe}^{3+}$  octahedral sites as compared to the magnetite spectrum. Another possible explanation is that this difference is due to particle-size effects such as reduced coordination at the surface or different crystal field values. Figure 3(b) also shows that the dichroism from the 7% Fe-doped  $\text{TiO}_2$  film is very different from that of iron metal. It thus can be concluded that the ferromagnetic properties of the films seen from MOKE and XMCD measurements are due to the presence of pure crystalline inverse spinel  $\text{Fe}_3\text{O}_4$  particles.



**Figure 3.** (a) Fe L-edge NEXAFS data collected using right and left circularly polarized light from an  $\text{Fe}_3\text{O}_4(001)$  epitaxial film, a 7% Fe-doped  $\text{TiO}_2$  epitaxial film, and Fe metal. (b) The XMCD signal derived from these spectra.

## Investigation of Exchange Bias in MnPd/Fe Bilayers

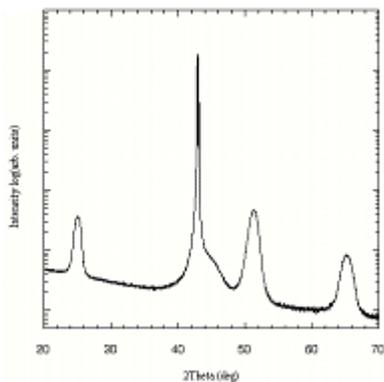
*P Blomqvist,<sup>(a)</sup> X Ji,<sup>(a)</sup> K Krishnan,<sup>(a)</sup> and D McCready<sup>(b)</sup>*

*(a) University of Washington, Seattle, Washington*

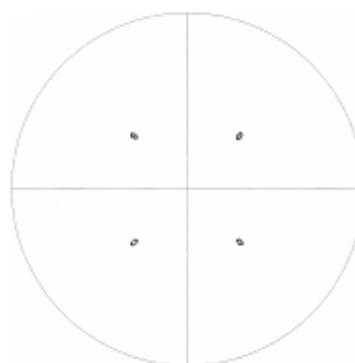
*(b) W.R. Wiley Environmental Molecular Sciences Laboratory, Richland, Washington*

The magnetic exchange coupling in nanoscale MnPd/Fe(Co) heterostructures was studied to gain a fundamental understanding of the relationship between structure and magnetic properties in structures that have a strong impact on a variety of technologies. All the samples in this study have been deposited in ultra-high vacuum on single-crystalline MgO (001) substrates using the ion-beam sputtering technique. MnPd and Fe can be grown epitaxially on top of each other, yielding bilayer structures with high crystalline quality. The structural and magnetic properties of the samples were investigated using a combination of techniques available at the W.R. Wiley Environmental Molecular Sciences Laboratory and the University of Washington, including x-ray diffraction (XRD), transmission electron microscopy, Rutherford backscattering, vibrating sample magnetometry, magnetic torque magnetometry, and spin-polarized neutron reflectivity. A very thorough XRD investigation shows MnPd/Fe bilayers with high crystalline quality and well-defined interfaces.

The magnetization measurements show systematic variations in exchange bias behavior as a function of crystallography. This data has been successfully interpreted using a very simple analytical model. As an example of the measurements conducted in EMSL, Figure 1 shows a high-angle XRD scan from a sample deposited at 375°C, which was found to be the optimum growth temperature. At higher temperatures, inter-diffusion between the MnPd and the Fe layer is initiated. As seen in the figure, only the Fe (002) Bragg peak at 65.25° is detected, which indicates that the Fe film is single-crystalline. This finding was verified by an XRD texture scan that showed four (220) reflections with a fourfold symmetry as expected for a body-centered cubic unit cell (Figure 2).



**Figure 1.** A 2Theta-Omega scan.



**Figure 2.** Fe (220) pole figure.

## Temperature-Induced Phase Separation in Chromium Films

L Saraf,<sup>(a)</sup> C Wang,<sup>(a)</sup> M Engelhard,<sup>(a)</sup> and D Baer<sup>(b)</sup>

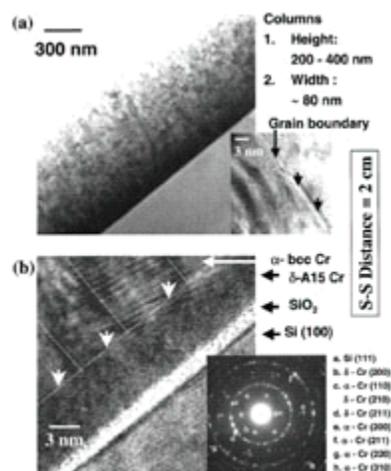
(a) W.R. Wiley Environmental Molecular Sciences Laboratory, Richland, Washington

(b) Pacific Northwest National Laboratory, Richland, Washington

Chromium metal is known to change its phase upon size reduction. In this study, the possibility of controlled phase separation in chromium was obtained using the knowledge that nanophase chromium exists in a  $\delta$ -A15 type structure apart from a recently observed fcc phase. The  $\delta$ -A15 structure is stable up to temperatures ranging from 428°C to 450°C, where significant grain growth and phase transformation to the bcc structure occur. Also, nanophase chromium suppresses the nonmagnetic antiferromagnetic phase transition and gives rise to a surface ferromagnetic phase. Thus, selective phase transformation or controlled phase separation in chromium can be useful for technological applications due to the changed physical properties as a result of different electronic structures with the same base material.

In this study (Saraf et al. 2003), films were deposited with rapid evaporation of chromium and characterized using high-resolution transmission electron microscopy (HR-TEM), atomic force microscopy, and x-ray diffraction (XRD). Vacuum evaporations of chromium on Si(100) were carried out at  $3 \times 10^{-5}$  Pa at source-to-sample (SS) distances of 2 cm and 32 cm. The evaporation rate was maintained at  $\sim 3$   $\mu\text{m}/\text{min}$ , which is the fastest rate possible for this system. The tungsten filament of the evaporator also served as the heating source. During evaporation, no additional heating was provided to the substrate. *In situ* thickness measurements were done using a quartz crystal thickness monitor. The thicknesses of the films grown at SS distances of 2 cm and 32 cm were measured as 800 nm and 20 nm, respectively. The samples were exposed to atmospheric pressure 15 min after closing the filament current to ensure that the source filament caused no additional sample heating, especially for the short-distance depositions.

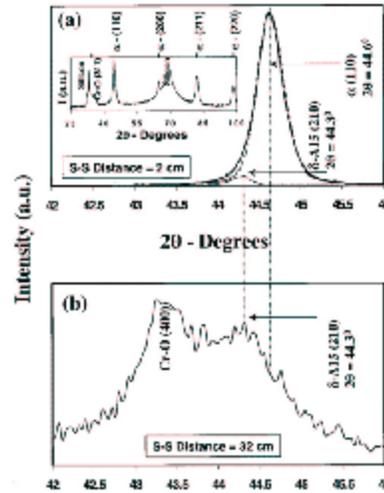
A cross-section TEM micrograph of chromium film deposited at a SS distance of 2 cm is shown in Figure 1(a). A columnar-type  $\alpha$  structure is observed in the topmost part of the film. Growth of these columns is observed perpendicular to the substrate with the height ranging from 200 nm to 400 nm and an average width of 80 nm. To verify the compactness of these grains, the density variation in the region between the columns was analyzed using HR-TEM of a grain boundary region, as seen as an inset of Figure 1(a). No significant density variation is



**Figure 1.** Cross-sectional TEM micrographs of chromium film deposited at a SS distance of 2 cm. (a) Low magnification image across the interface. (Inset: high-resolution cross section of a grain boundary region near the surface.) (b) High-resolution cross section across the interface indicating phase separation. (Inset: electron diffraction pattern.)

observed. HR-TEM across the interface of chromium and silicon in the film deposited at a SS distance of 2 cm is shown in Figure 1(b). A 70-Å-thick layer of chromium nanograins is observed near the interface with a very sharp interface between Si and SiO<sub>2</sub>. Markers in Figure 1(b) show approximate vertical grain separations and a nanophase layer. Existence of a  $\delta$ -A15 phase of chromium was verified by a cross-section electron diffraction pattern [Figure 1(b) inset]. Bright reflection circles corresponding to reflections in  $\alpha$ -bcc chromium, such as (110), (200), (211), and (220), are clearly visible. Reflections denoted by b, c, and d correspond to (200), (210), and (211) of the  $\delta$ -A15 phase of chromium.

Structural properties of films grown at SS distances of 2 cm and 32 cm were analyzed by XRD. Scans in the strongest hkl reflection 2 $\theta$  regions of Cr  $\alpha$ -bcc and  $\delta$ -A15 phases are represented in Figure 2. The chromium  $\alpha$ -bcc (110) reflection is observed at 44.6° for growth at a SS distance of 2 cm (Figure 2a). The peak fitting profile indicates the presence of a weak peak of the  $\delta$ -A15 phase at 44.3°. The complete XRD scan of the film deposited at a SS distance of 2 cm is shown as an inset in Figure 2(a). The film deposited at a SS distance of 32 cm [Figure 2(b)] shows a strong (210) reflection at 44.3° attributed to the  $\delta$ -A15 phase with Cr-O (400) reflection. Dotted lines in Figure 2(b) represent the distinct nature of  $\alpha$  and  $\delta$ -A15 reflections. From the peak broadening in the case of growth at 32 cm, the average grain size is estimated to be  $\sim$ 7 nm at and below 7 nm.



**Figure 2.** Strongest hkl region XRD spectra of chromium films deposited at SS distances of (a) 2 cm (inset: complete scan) and (b) 32 cm.

In conclusion, a sharp phase separation between  $\delta$ -A15 and  $\alpha$ -bcc chromium has been obtained using a close-distance, rapid evaporation where the temperature gradient as well as interfacial stress combined to achieve separation between nanophase chromium and bulk columnar grains. The observed suppression of the antiferromagnetic order and the rise in surface magnetization in nanophase chromium can be used to create layered, phase-separated structures with varying magnetic properties, where a single element system with changed properties can be used to replace multicomponent systems.

## Reference

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## Measurement of the Band Offsets between Amorphous LaAlO<sub>3</sub> and Silicon

L Edge,<sup>(a)</sup> D Schlom,<sup>(a)</sup> S Chambers,<sup>(b)</sup> E Cicerrella,<sup>(c)</sup> J Freeouf,<sup>(c)</sup> B Holländer,<sup>(d)</sup> and J Schubert<sup>(d)</sup>

(a) Pennsylvania State University, University Park, Pennsylvania

(b) Pacific Northwest National Laboratory, Richland, Washington

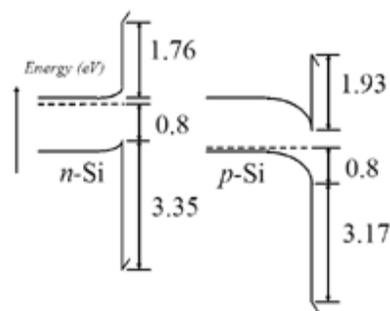
(c) Oregon Health and Sciences University, Portland, Oregon

(d) Institut für Schichten und Grenzflächen, Jülich, Germany

Currently, as SiO<sub>2</sub> approaches its fundamental limits, extensive research is being conducted to find alternative gate dielectrics to replace SiO<sub>2</sub> in metal oxide semiconductor field-effect transistors (MOSFETs). LaAlO<sub>3</sub> is a promising alternative gate dielectric. Single-crystalline LaAlO<sub>3</sub> has a dielectric constant of  $24.1 \pm 0.2$  measured at 145 GHz and an optical bandgap of 5.6 eV. Amorphous LaAlO<sub>3</sub> thin films on silicon have an estimated dielectric constant of 20 to 25. It has also been shown that single-crystalline LaAlO<sub>3</sub> is stable in contact with silicon under standard MOSFET processing conditions of 1026°C for 20 seconds. The band offsets between LaAlO<sub>3</sub> and silicon have been predicted to be in the range 1.0 to 2.1 eV for electrons and 1.9 to 3.5 eV for holes. All of these properties meet the requirements for an alternative gate dielectric as suggested by the 2001 edition of the *International Technology Roadmap for Semiconductors* (Semiconductor Industry Association 2001).

Although LaAlO<sub>3</sub>/silicon shows many promising properties, there are no published papers that have experimentally determined band offsets. It is critical that the high-K gate dielectric has conduction and valence band offsets  $\geq 1$  eV for both electrons and holes from the silicon. To this end, the band offsets between amorphous LaAlO<sub>3</sub> and silicon have been determined from x-ray photoelectron spectroscopy. These films, which are free of interfacial SiO<sub>2</sub>, were made using molecular beam deposition at Pennsylvania State University. The band offsets were measured at W.R. Wiley Environmental Molecular Sciences Laboratory by researchers from Pennsylvania State University and Pacific Northwest National Laboratory (Edge et al. 2004). The band lineup is type I with measured band offsets of  $1.86 \pm 0.2$  eV for electrons and

$3.23 \pm 0.1$  eV for holes. Type I means that the band gap of silicon is entirely encased within the band gap of LaAlO<sub>3</sub>, as shown in Figure 1. The band offsets are independent of the doping concentration in the silicon substrate as well as the LaAlO<sub>3</sub> film thickness, as seen in Table 1. These amorphous LaAlO<sub>3</sub> films have a band gap of  $6.2 \pm 0.1$  eV, as measured by researchers from Oregon Health and Sciences University. The fact that the offsets at both band edges exceed 1 eV means that LaAlO<sub>3</sub> is a very attractive candidate for a next-generation gate oxide in silicon MOSFETs with low leakage current.



**Figure 1.** Type I means that the band gap of silicon is entirely encased within the band gap of LaAlO<sub>3</sub>.

**Table 1.** Band offsets in silicon substrate and LaAlO<sub>3</sub> film.

| Film                          | $\Delta E_c$ (eV) | $\Delta E_v$ (eV) |
|-------------------------------|-------------------|-------------------|
| 10 Å LaAlO <sub>3</sub> /n-Si | 1.75 ± 0.2        | 3.35 ± 0.1        |
| 10 Å LaAlO <sub>3</sub> /p-Si | 1.93 ± 0.2        | 3.17 ± 0.1        |
| 20 Å LaAlO <sub>3</sub> /p-Si | 1.99 ± 0.2        | 3.11 ± 0.1        |
| 40 Å LaAlO <sub>3</sub> /n-Si | 1.76 ± 0.2        | 3.34 ± 0.1        |
| 40 Å LaAlO <sub>3</sub> /p-Si | 1.88 ± 0.2        | 3.22 ± 0.1        |

## References

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Edge LF, DA Schlom, SA Chambers, E Cicerrella, JL Freeouf, B Holländer, and J Schubert. 2004. "Measurement of the Bond Offsets between Amorphous LaAlO<sub>3</sub> and Silicon." *Applied Physics Letters* 84:726-728.

## Quantification of Trace Metals in Solids with Inhomogeneous Internal Structure Using Proton-Induced, X-Ray Emission

*N Yadav,<sup>(a)</sup> S Maheswaran,<sup>(a)</sup> V Shutthanandan,<sup>(b)</sup> S Thevuthasan,<sup>(b)</sup> H Ngo,<sup>(c)</sup> and S Vigneswaran<sup>(c)</sup>*

*(a) University of Western Sydney, Sydney, Australia*

*(b) W.R. Wiley Environmental Molecular Sciences Laboratory, Richland, Washington*

*(c) University of Technology, Sydney, Australia*

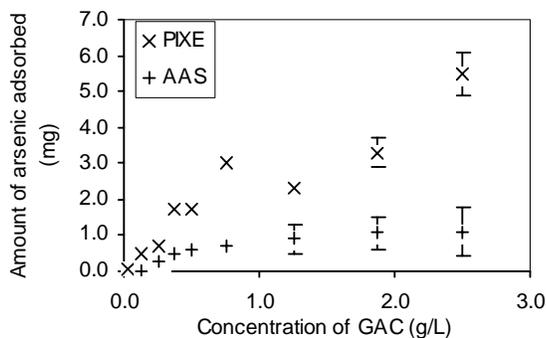
Granular activated carbon (GAC) is a highly porous material used to remove contaminants from drinking water via the process of adsorption. These contaminants, such as trace metals, adsorb to the surface of pores, which are randomly distributed within each GAC particle. To date, bulk analysis techniques used to directly quantify the adsorbed trace metals in GAC have been restricted to wet chemical techniques and neutron activation analysis (NAA). When wet chemical techniques such as atomic absorption spectrophotometry (AAS) and/or inductively coupled plasma mass spectrometry (ICP-MS) are used for the analysis of solid samples, samples require dissolution, decomposition, or extraction, steps that are laborious and introduce the risk of sample contamination. NAA avoids virtually all this sample preparation but only offers the highest sensitivities with a large amount of sample (5 to 10 g) that may not be available and a very high neutron flux (achievable only in a nuclear reactor). These two factors limit the use of NAA. A rapid, highly sensitive, non-destructive, multi-elemental analytical method is best suited for investigating the issues associated with trace elements. Proton-induced, x-ray emission (PIXE) is such a tool that can simultaneously quantify trace elements with sensitivities of at least parts per million.

In this project, we used GAC as an adsorbent to remove arsenic from water, and then used PIXE to measure the amount of adsorbed arsenic. The results were compared with the adsorbed amounts determined from atomic AAS measurements. Furthermore, the arsenic concentration measured by these techniques was used to construct various isotherms to study the total adsorption capacity of GAC. To simulate contaminated waters, we created a number of solutions using deionized and laboratory tap water supplemented with an arsenic stock solution. Equilibrium experiments were carried out with these solutions, and different adsorption mechanisms of arsenic in GAC were evaluated. These experiments were conducted in batches to allow easy manipulation and measurement of process variables. The samples in the equilibrium experiments consisted of between 0.1 g/L to 10.0 g/L of GAC added to a solution with an initial arsenic concentration of 4.9 mg/L. The GAC/arsenic mixture was then mixed for a period of 72 hours. After the mixing process, the GAC was isolated from the arsenic solution and analyzed using PIXE while arsenic remaining in the solution was measured using AAS. AAS measurements assumed that the amount of arsenic in the GAC was the initial amount of arsenic in the solution minus the arsenic left in the solution after treatment.

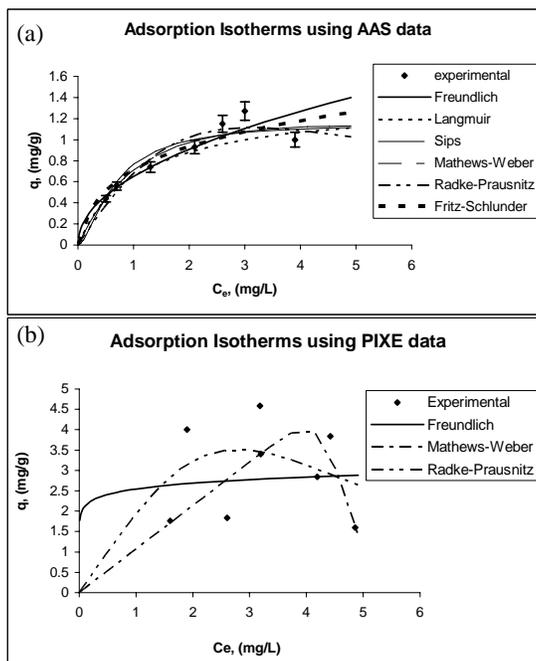
Arsenic concentration in GAC after equilibrium experiments using PIXE and AAS are shown in Figure 1. The differences between PIXE and AAS measurements are noticeable, and the PIXE results are higher than the AAS results. The area of the sample analyzed by PIXE may be the source of the differences in the result. Only two to three particles are being used as samples in the PIXE measurements. Microbeam PIXE measurements carried out on two arbitrarily chosen GAC particles clearly showed that different regions within each GAC particle adsorb different amounts of arsenic, and arsenic adsorption between the two particles is also different (the results for this part of the research are not provided). The sample examined by the PIXE beam might not have been representative for the whole sample.

Figure 2 shows the data from the equilibrium experiments fitted to a number of different isotherms. The results indicate that the AAS experimental data were reasonably consistent with the predictions of various isotherm models compared to the PIXE measurements. The inconsistency of PIXE data made the fitting of various isotherm models very difficult. The percentage error between experimental data and various isotherm models are quite high in the case of the PIXE data (20 to 80%) compared to AAS data (8 to 12%).

We have demonstrated that the PIXE technique can be effectively used to determine trace arsenic concentration in GAC. However, inhomogeneous structures of GAC make it difficult to obtain consistent AAS values.



**Figure 1.** PIXE and AAS measurements of arsenic concentration in GAC after the equilibrium experiments.



**Figure 2.** Various adsorption isotherm models fitted to (a) AAS and (b) PIXE data. The term  $q$  is the adsorption density at the equilibrium solute concentration  $C_e$  [mg of adsorbate per g of adsorbent], and  $C_e$  is the concentration of adsorbate in solution (mg/L).

## Reactions at Interfaces as a Source of Sulfate Formation in Sea-Salt Particles

A Laskin,<sup>(a)</sup> D Gaspar,<sup>(a)</sup> W Wang,<sup>(b)</sup> S Hunt,<sup>(b)</sup> J Cowin,<sup>(c)</sup> S Colson,<sup>(c)</sup>  
and B Finlayson-Pitts<sup>(b)</sup>

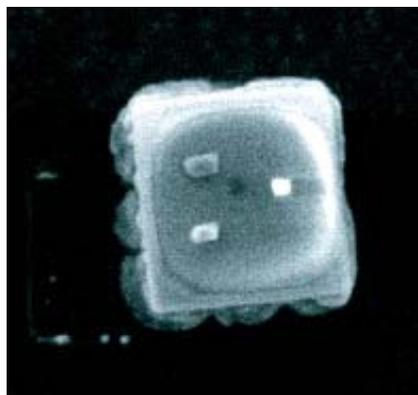
(a) W.R. Wiley Environmental Molecular Sciences Laboratory, Richland, Washington

(b) University of California, Irvine, California

(c) Pacific Northwest National Laboratory, Richland, Washington

Understanding the formation of sulfate particles in the troposphere is critical because of their health effects and direct and indirect effects on radiative forcing, hence on climate. Laboratory studies of the chemical and physical changes in NaCl, the major component of sea-salt particles, show that NaOH is generated upon reaction of deliquesced NaCl particles with gas phase OH. The increase in alkalinity will lead to an increase in the uptake and oxidation of SO<sub>2</sub> to sulfate in sea salt particles.

Atmospheric models typically overestimate the amount of SO<sub>2</sub> and underestimate the amount of sulfate near the earth's surface. The explanation for this discrepancy may lie in the chemistry of sea-salt particles thrown into the atmosphere by wind and waves. Using particles of NaCl, researchers at Pacific Northwest National Laboratory have demonstrated that salt can react with atmospheric hydroxyl radicals (formed from the reaction of ozone, light, and water vapor) to produce NaOH, which is shown coating a salt particle in Figure 1. The NaOH increases the pH of the salt particles, and the alkalinity in turn promotes the oxidation of SO<sub>2</sub> by ozone to yield sulfate. In a publication of this work (Laskin et al. 2003), the researchers noted, "This chemistry is missing from current models, but is consistent with a number of previously unexplained field observations."



**Figure 1.** An image of NaOH coating an NaCl particle obtained using the environmental scanning electron microscope in the W. R. Wiley Environmental Molecular Sciences Laboratory's Chemistry & Physics of Complex Systems Facility. This image was recently published in *Science* (Laskin et al. 2003).

### Reference

Laskin A, DJ Gaspar, W Wang, SW Hunt, JP Cowin, SD Colson, and BJ Finlayson-Pitts. 2003. "Reactions at Interfaces as a Source of Sulfate Formation in Sea-Salt Particles." *Science* 301:340-344.

## Surface Decontamination of Simulated Chemical Warfare Agents Using a Nonequilibrium Plasma with Off-Gas Monitoring

*T Moeller,<sup>(a)</sup> M Alexander,<sup>(b)</sup> M Engelhard,<sup>(c)</sup> D Gaspar,<sup>(c)</sup> M Luna,<sup>(a)</sup> and P Irving<sup>(a)</sup>*

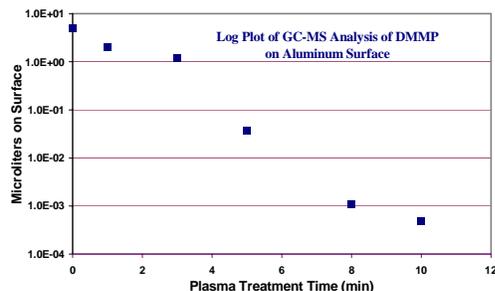
*(a) InnovaTek, Inc., Richland, Washington*

*(b) Pacific Northwest National Laboratory, Richland, Washington*

*(c) W.R. Wiley Environmental Molecular Sciences Laboratory, Richland, Washington*

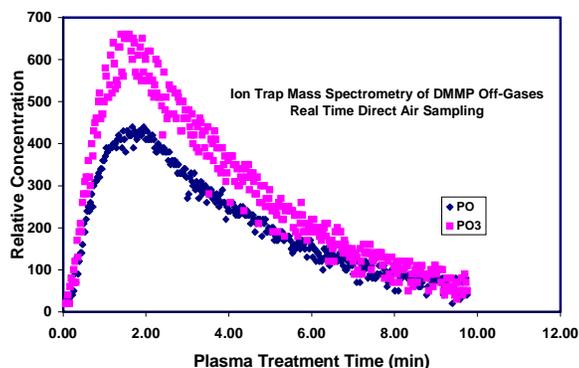
An effective, near-real-time decontamination system for toxic chemical and biological materials on surfaces will address a range of concerns in the military and industrial sectors. These concerns include protecting troops and civilians against chemical and biological agents on the battlefield or in terrorist situations, or ensuring sanitation in the food-processing industry. InnovaTek, Inc., is developing a surface decontamination technology that uses active species generated in a nonequilibrium corona plasma. In this project, InnovaTek staff members used a variety of instrumental methods available in the W.R. Wiley Environmental Molecular Sciences Laboratory to verify the efficacy of the plasma technology. These methods included gas chromatography-mass spectrometry (GC MS), x-ray photoelectron spectroscopy (XPS), and secondary ionization mass spectrometry (SIMS). Ion trap mass spectrometry (ITMS) with direct atmospheric sampling was used as a real-time monitor of the decontamination process.

The plasma technology was tested against DMMP, a simulant for the chemical agent Sarin. Test coupons made from flat pieces of aluminum with a surface area of approximately 4 cm<sup>2</sup> were thoroughly cleaned and then inoculated with 5  $\mu$ L droplets of DMMP at a minimum concentration of 0.5 g/m<sup>2</sup>. Immediately after inoculation, the test coupons were exposed to the plasma for periods ranging from 1 to 20 minutes. To determine the quantity of DMMP remaining on the surface of the coupon vs. plasma treatment time, the coupons were rinsed with acetone at varying time intervals, and the solution was analyzed with GC MS. The data shown in Figure 1 indicate that greater than a 4- $\log_{10}$  destruction of the DMMP on the aluminum surface was achieved after a 10-min plasma treatment.



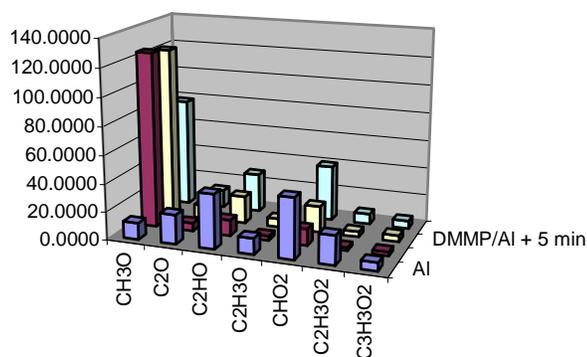
**Figure 1.** Chemical agent decontamination efficiency for DMMP, a Sarin simulant, on an aluminum surface treated with a 20-Watt plasma flare prototype.

Additional information about the plasma decontamination process was obtained by real-time monitoring of the gas-phase products using an ITMS with a direct air-sampling interface. Figure 2 shows the relative concentration vs. exposure time for the primary peaks observed in the mass spectrum, PO<sub>3</sub> and PO. The relative concentration of the DMMP breakdown products from plasma exposure shows a range of approximately two orders of magnitude. These data are consistent with the GC MS results, although the dynamic range is reduced on the low end by signal-to-noise limitations and on the high end by the time response of the system. The apparent induction time of two minutes is a convolution of the actual induction time for the DMMP on the surface to react with the plasma and the delay and broadening caused by the capillary interface. These factors have the effect of spreading the intensity in time and reducing the maximum observed signal. The difference between the off-gas mass spectrum and the pure DMMP mass spectrum is significant because it demonstrates unequivocally that the cold plasma decontamination process is reducing the DMMP to harmless components, not simply volatilizing it.



**Figure 2.** Analysis of off-gases during the decontamination of DMMP on an aluminum surface treated with a 20-Watt plasma.

GC MS analysis showed that a greater than 4- $\log_{10}$  destruction of the DMMP on an aluminum surface was achieved in a 10-min treatment. XPS and SIMS were used to detect any trace levels of the simulated contaminant, and the XPS and SIMS data were consistent with the GC MS data, indicating that less than a monolayer of DMMP remained after plasma treatment. Figure 3 shows SIMS data that demonstrate the changes in surface chemistry produced by exposure to the plasma. The technology is being further refined to develop a product that will not only decontaminate surfaces but will also sense when decontamination is complete.



**Figure 3.** SIMS results for selected chemical fragments resulting from plasma treatment of a test coupon and an aluminum control coupon.

## Improved Catalysts for the Non-Thermal Plasma-Assisted Catalytic NO<sub>x</sub> Reduction

*J Kwak,<sup>(a)</sup> J Szanyi,<sup>(a)</sup> and C Peden<sup>(a)</sup>*

*(a) Pacific Northwest National Laboratory, Richland, Washington*

Improving the fuel economy of internal combustion engines is the driving force behind changes in engine operating conditions. One way to improve engine performance is to provide excess oxygen for combustion (i.e., operate the engine under “lean” conditions). Currently, only diesel engines operate under true lean conditions all the time. Lean engine operation, however, makes NO<sub>x</sub> removal from an oxygen-containing, exhaust-gas mixture a very challenging task. Traditional three-way catalysts containing precious metals are unable to remove NO<sub>x</sub> under lean conditions. Zeolite-based, primarily transition-metal ion exchanged catalysts have been extensively studied in the last two decades for the selective catalytic reduction of NO<sub>x</sub>. Insufficient activities, narrow operating windows, and the sensitivity of these materials to water and sulfur poisoning have hindered their practical application.

When a non-thermal plasma was applied in front of the catalyst bed, alkali and alkaline earth ion exchanged Y,FAU (Y) zeolites have shown promising catalytic activities for the removal of NO<sub>x</sub>. In the absence of the non-thermal plasma, these materials show no catalytic activity toward the decomposition of NO<sub>x</sub>. Over the catalyst bed, the NO<sub>2</sub> formed in the plasma undergoes selective catalytic reduction by the partially oxidized hydrocarbons, which also are formed in the plasma reactor.

The effect of the ion-exchange method on the NO<sub>x</sub> reduction activity of a Ba-Y catalyst has been reported previously (Eberling et al. 2001). The activity of a Ba-Y catalyst prepared by aqueous solution ion exchange was higher than the one that was solid-state ion exchanged with a chloride salt. The different activities of these two catalysts were explained by the differences in their acidities. The solution ion exchanged Ba-Y was shown to have higher acidity, making it a better catalyst for hydrocarbon activation. However, this catalyst was prone to deactivation as a result of coke formation on the acidic sites, while no deactivation was observed on the solid-state ion exchanged Ba-Y.

The effects of catalyst preparation on the NO<sub>x</sub> reduction activity of a series of Ba-Y,FAU zeolites were investigated using a simulated exhaust gas mixture (Kwak et al. 2003). We have previously shown that the introduction of Ba<sup>2+</sup> ions into Na-Y,FAU results in a large increase in their non-thermal, plasma-assisted NO<sub>x</sub> reduction activity. The NO<sub>x</sub> reduction activities of Ba-Y,FAU catalysts were found to increase with increasing Ba<sup>2+</sup> concentration in the aqueous ion exchange solutions, which translated into increased Ba<sup>2+</sup>/Na<sup>+</sup> ratios in the resulting materials. Consecutive ion exchange procedures using an excess of Ba<sup>2+</sup> in aqueous solution, however, did not improve the NO<sub>x</sub> reduction activities of Ba-Y, FAU catalysts (i.e., the activity of a material that was ion exchanged four times was the same as that of the material that was exchanged only once). In contrast, a significant increase in NO<sub>x</sub> reduction activity was observed when a 773 K calcination step was implemented after each solution ion exchange as shown in Figure 1. Calcination that followed each ion exchange step seems to further increase the Ba<sup>2+</sup>/Na<sup>+</sup> ratio in the zeolite and, in turn, to increase the NO<sub>x</sub>

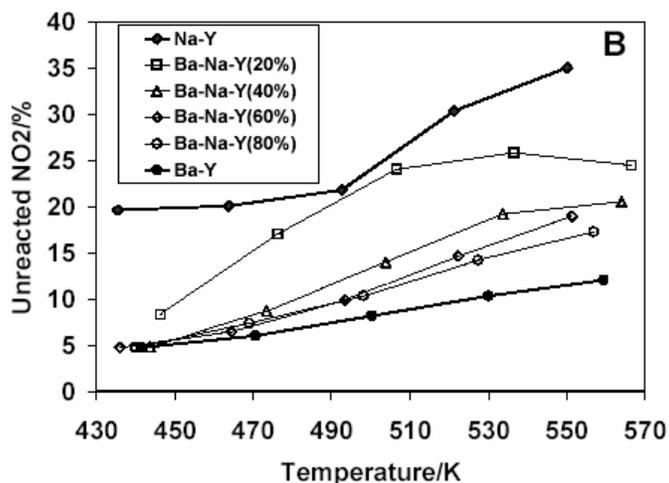


Figure 1. B

**Figure 1.** The effect of multiple ion exchange/calcination on the NO<sub>x</sub> conversion (A) and unreacted NO<sub>2</sub> levels at the exit end of the reactor (B) over Ba(Na)-Y,FAU catalysts. [filled diamonds: Na-Y; open squares: Ba-Y(1-1); open triangles: Ba-Y(2-2); open circles: Ba-Y(3-3); filled circles: Ba-Y(4-4)].

reduction activities of the catalysts prepared this way. Key differences in Na-, and Ba-Y catalysts were found in NO<sub>2</sub> adsorption and temperature-programmed desorption experiments; notably, Ba-Y chemisorbs NO<sub>x</sub> much stronger than Na-Y.

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Eberling AC, AA Panov, DE McCready, and ML Balmer. 2001. "Characterization of Acid Sites in Ion-Exchanged and Solid State-Exchanged Zolites." Reprinted from *Non-Thermal Plasma Emission Control Systems* (SP-1639), Society of Automotive Engineers, Warrendale, Pennsylvania.

Kwak J, J Szonyi, and CHF Peden. 2003. "Nonthermal Plasma-Assisted Catalytic NO<sub>x</sub> Reduction Over Ba-Y, FAU: The Effect of Catalyst Preparation." *Journal of Catalysis* 220:291-298.

## Proton Transfer Reaction Ion Trap Mass Spectrometry

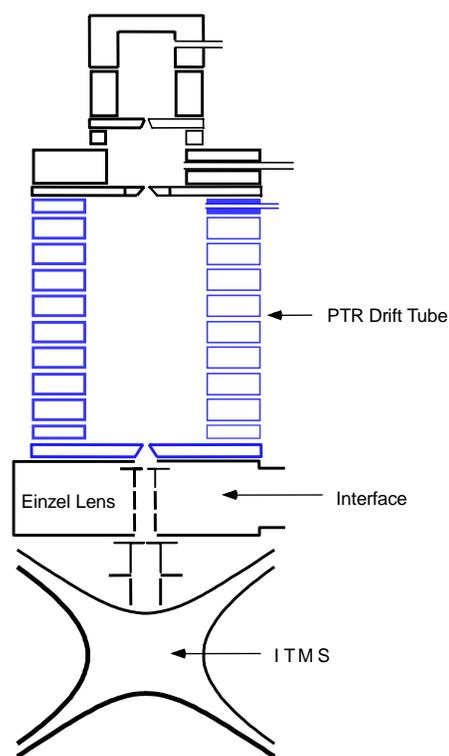
*M Alexander,<sup>(a)</sup> P Prazeller,<sup>(b)</sup> T Jobson,<sup>(a)</sup> and P Palmer<sup>(b)</sup>*

*(a) Pacific Northwest National Laboratory, Richland, Washington*

*(b) San Francisco State University, San Francisco, California*

Proton transfer reaction mass spectrometry (PTR-MS) is a relatively new field that has attracted a great deal of interest in the last several years. This technique uses  $\text{H}_3\text{O}^+$  as a chemical ionization agent. The advantages of PTR-MS include high sensitivity, selectivity, and accuracy. A commercial PTR-MS was purchased in fiscal year 2001 for the W.R. Wiley Environmental Molecular Sciences Laboratory (EMSL). The PTR-MS mass spectra are simpler than those obtained by conventional electron-impact spectra because the ionization method, transfer of a proton, is “soft,” thus little fragmentation occurs. This feature, however, can cause problems in peak identification because of isobaric interferences. A possible solution to this problem is to couple the PTR drift tube to an ion trap mass spectrometer (ITMS). This possible solution has been the subject of much speculation and discussion in the PTR-MS community. ITMS is appealing because of its ability to perform tandem mass spectroscopy (MS-MS) analysis and possibly to distinguish between isomers and other isobars. It is also appealing because the duty cycle is much higher than a linear quadrupole so faster time response can be obtained for the detection of multiple compounds. This project represents the first successful effort in creating a PTR-ITMS.

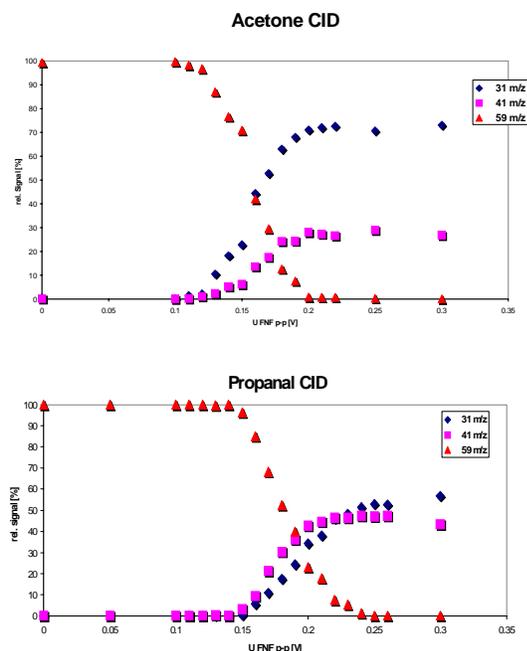
We have constructed a prototype instrument using a drift tube from the EMSL commercial PTR-MS (Ionicon) and a Finnegan Saturn ITMS. A schematic is shown in Figure 1. The interface is designed to replace the standard electron gun and uses a simple Einzel lens to focus the ions from the drift tube exit into the ITMS. The goal here was not to achieve the ultimate sensitivity, but to determine the efficiency of ion injection and to understand how to improve sensitivity in a second-generation instrument. The operating pressures are 1 to 2 Torr in the drift tube, 0.1 Torr in the interface, and  $5 \times 10^{-4}$  Torr in the ion trap. We determined that an ion current of 200 pA makes it through the interface to the entrance aperture for the ion trap and about 1% of those ions are stored and detected in the ITMS. Under these conditions the detection limit was found to be 10 ppm. Although this is hardly an impressive number, there are several issues that can be addressed to dramatically improve the sensitivity.



**Figure 1.** Schematic of PTR-ITMS showing the drift tube, interface, and ITMS.

The first issue is that the trap had to be run at high pressure to compensate for the high ion energies required to make the ion pass through the interface. This operating requirement resulted in a background level at least a factor of 100 higher than is normal for this detector. Simply implementing differential pumping can improve the sensitivity by a factor of 100, and better pumping in the interface can improve the signal by a factor of 10 to 100. Implementing these features should result in sensitivities of 1 ppb or better. The other issue is how effective MS-MS in an ion trap will be in distinguishing isobars—for example, acetone and propanal, both of which have a protonated mass of 59. Figure 2 shows that both compounds fragment into mass 41 and mass 31, but with different fragmentation ratios. These data indicate that MS-MS in the ITMS could distinguish between pure compounds, but mixtures would be hard to resolve.

The data to date show a great deal of promise for PTR-ITMS. The sensitivity should ultimately be 1 ppb or better which, combined with the higher duty cycle inherent in ITMS, will make the PTR-ITMS a valuable tool for time-dependent studies. MS-MS can be a valuable tool for distinguishing between some isobars of protonated species.



**Figures 2.** MS-MS spectra of protonated acetone (top) and propanal (bottom) as a function of excitation voltage.

## Heteroepitaxial Growth of a Manganese Carbonate Secondary Nano-Phase on the $(10\bar{1}4)$ Surface of Calcite In Solution

A Lea,<sup>(a)</sup> T Hurt,<sup>(b)</sup> A El-Azab,<sup>(c)</sup> J Amonette,<sup>(c)</sup> and D Baer<sup>(c)</sup>

(a) W.R. Wiley Environmental Molecular Sciences Laboratory, Richland, Washington

(b) Cornell University, Ithaca, New York

(c) Pacific Northwest National Laboratory, Richland, Washington

The prominence of carbonates in mineral-interface studies arises from their simple structures, highly dynamic surface chemistry, and abundance in natural systems ranging from terrestrial soils and sediments to aquifers and marine deposits. Carbonates are able to adsorb and co-precipitate with metallic cations and oxyanions of environmental importance and geological interest. The rates at which they nucleate, precipitate, dissolve, and act to adsorb and incorporate ionic species have a strong effect on the transport of contaminants in groundwater systems. Such processes involve transfer of mass and energy across the aqueous-mineral interface and depend strongly on the molecular-scale details of the carbonate surface.

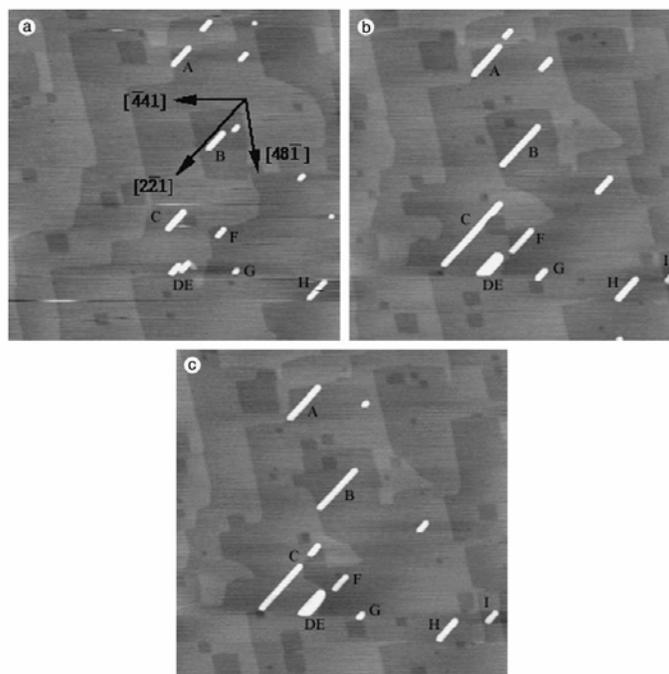
A vast amount of literature treats the interaction of metallic cations or oxyanions with calcite, the most prevalent carbonate mineral. These impurity studies have shown that calcite is a highly reactive mineral that undergoes a variety of surface reactions in solution. These reactions significantly affect the concentration of metallic cations and oxyanions in calcareous systems. Yet despite the preponderance of impurity investigations on this mineral, only recently has evidence of heteroepitaxial growth on calcite been reported. Here, we examine the formation of a Mn-rich carbonate phase that has been observed to grow epitaxially on an otherwise dissolving calcite surface.

Specifically, we consider the conditions under which these precipitates form, as well as their growth behavior and structural characteristics. The composition of these precipitates has been deduced from bulk solution species measurements in conjunction with spectroscopic data and thermodynamic calculations. The growth characteristics have been explained in terms of the fine structure of surface steps, the mechanism of step motion on the surface, and the theoretical framework for epitaxial growth governed by surface energy and relative elasticities of the growing phase and substrate. Lattice mismatch, along with elasticity parameters for the substrate and film, are used to derive the cross-sectional profile of these epitaxially strained precipitates using a glued-wetting-layer model that gives the equilibrium island profile in terms of the elastic and surface energy of the growing phase.

Heteroepitaxy of a manganese carbonate phase with nanometer dimensions on the  $(10\bar{1}4)$  surface of calcite ( $\text{CaCO}_3$ ) using atomic force microscopy (AFM) has been observed in solution during dissolution of calcite when the ion activity product of  $\text{Mn}^{2+}$  and  $\text{CO}_3^{2-}$  nears the solubility limit of  $\text{MnCO}_3$ . Growth rate observations at different Mn concentrations, coupled with x-ray photoelectron spectroscopy and electron paramagnetic resonance measurements, suggest that the resulting phase is  $\text{Mn}_{0.5}\text{Ca}_{0.5}\text{CO}_3$ . These islands, while growing many microns in length along the  $[2\bar{2}1]$  direction, have a uniform width in the

range of 120 to 240 nm and a uniform height of approximately 2.7 nm, which corresponds to nine atomic layers. The islands stop growing when they encounter step edges and have been observed to dissolve when undercut by a growing etch pit (Figure 1).

Comparison of the crystal lattices of calcite and  $\text{Mn}_{0.5}\text{Ca}_{0.5}\text{CO}_3$  indicates that  $[\bar{2}2\bar{1}]$  is the direction of preferred growth. A glued-wetting-layer model with a condition of constant surface chemical potential has been used to model the observed cross section of the heteroepitaxial layer. Although not all the required parameters are accurately known, the model accurately depicts the measured profiles of the islands (Lea et al. 2003).



**Figure 1.** AFM topographic images of the  $(10\bar{1}4)$  surface of calcite in a pH-8.9, 150- $\mu\text{M}$  carbonate solution containing 2  $\mu\text{M}$   $\text{Mn}^{2+}$ . Visible in the images are 3.14  $\text{\AA}$  single steps, single- and multilayer deep etch pits, and highly oriented precipitates on the surface of calcite. Images (b) and (c) were 11 and 26 minutes after the first image (a). Superimposed on image (a) are the principal crystallographic axes on the calcite surface.

## Reference

Lea AS, TT Hurt, A El-Azab, JE Amonette, and DR Baer. 2003. "Heteroepitaxial Growth of a Manganese Carbonate Secondary Nano-Phase on the  $(1\bar{1}04)$  Surface of Calcite in Solution." *Surface Science* 524:63-77.

## Selective Formation of Single-Phase Oxide Nanoclusters: $\text{Cu}_2\text{O}$ on $\text{SrTiO}_3(100)$

I Lyubinetsky,<sup>(a)</sup> S Thevuthasan,<sup>(a)</sup> and D Baer<sup>(b)</sup>

(a) W.R. Wiley Environmental Molecular Sciences Laboratory, Richland, Washington

(b) Pacific Northwest National Laboratory, Richland, Washington

Synthesis of three-dimensional nanostructures such as nanoclusters of metals and semiconductors results in formation of quantum dots possessing novel electronic and optical properties derived from the quantum confinement of charge carriers. Nanoscaled clusters also attract interest with respect to phase transitions, which may be different from that observed in bulk due to the increase of the surface-to-bulk ratio. Oxides constitute a highly diverse class of materials with rich optical, electronic, magnetic, and dielectric properties, and as such, have significant technological potential. Cuprous oxide ( $\text{Cu}_2\text{O}$ ) is of considerable interest because of its unique electronic structure, and also its evolving potential in chemical and photochemical applications, in particular, water splitting. We have evaluated experimental parameters for the formation of single-phase  $\text{Cu}_2\text{O}$  nanoclusters on the  $\text{SrTiO}_3(001)$  surface in terms of the pressure-temperature phase diagram. The  $\text{Cu}_2\text{O}$  nanoclusters were synthesized using oxygen plasma-assisted molecular beam epitaxy. The chemical state information from the surface region of the nanoclusters was acquired using *in situ* x-ray photoelectron spectroscopy (XPS) and x-ray induced Auger electron spectroscopy (AES). *Ex situ* analysis was performed using an atomic force microscope (AFM) to map the morphology of the clusters.

Since copper has multiple oxidation states, the chemical state of the synthesized nanoclusters should be verified. Figure 1 presents the Cu  $2p_{3/2}$  core level x-ray photoelectron [Figure 1(a)] and Auger  $\text{Cu L}_3\text{VV}$  spectra [Figure 1(b)] from samples containing copper in all three different charge states. The  $2p$  peak binding energy difference between  $\text{Cu}^{2+}$  and  $\text{Cu}^{1+}$  (in  $\text{Cu}_2\text{O}$ ) is quite large ( $\Delta E \sim 1.6$  eV) and can be clearly resolved in an XPS spectrum containing a mixture of  $\text{Cu}^{1+}$  and  $\text{Cu}^{2+}$  [Figure 1(a)]. Meanwhile, it is difficult to resolve the peaks due to  $\text{Cu}^0$  and  $\text{Cu}^{1+}$  in XPS spectra. Cu and  $\text{Cu}_2\text{O}$  Auger peaks are well separated in AES, as shown in Figure 1(b). The major Cu  $\text{L}_3\text{VV}$  Auger peaks, associated with  $\text{Cu}^0$  and  $\text{Cu}^{1+}$ , have kinetic energies of 918.8 and 916.4 eV, respectively. Analyzing the experimental parameters for the  $\text{Cu}_2\text{O}$  nanocluster formation,

a phase diagram as a function of growth temperature and oxygen pressure under constant Cu flux has been determined and is shown in Figure 2. For comparison purposes, Figure 2 also displays the bulk Cu-O phase diagram.

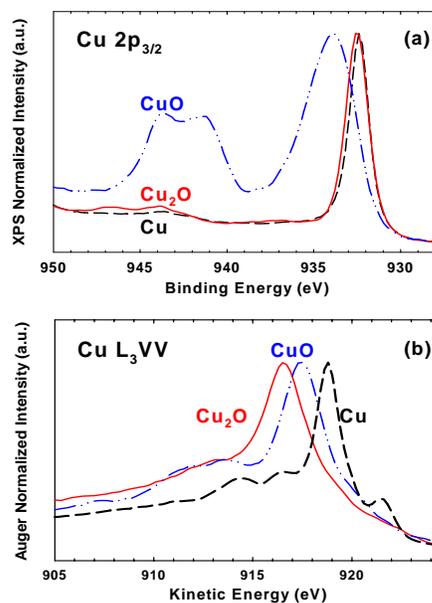
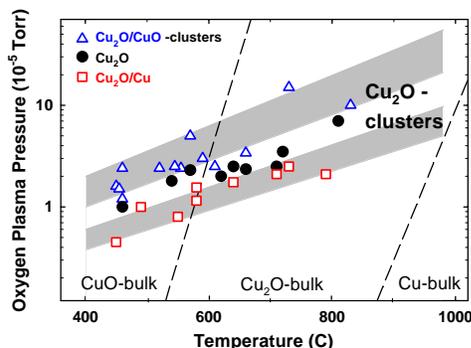


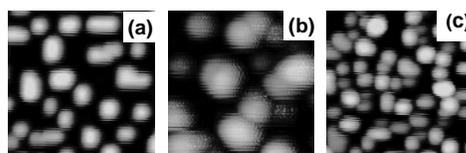
Figure 1. XPS  $\text{Cu } 2p_{3/2}$  (a) and AES  $\text{Cu L}_3\text{VV}$  (b) spectra of  $\text{CuO}$ ,  $\text{Cu}_2\text{O}$ , and  $\text{Cu}$ .

It is remarkable that a region associated with the growth of pure  $\text{Cu}_2\text{O}$  nanoclusters is observed to be very narrow, especially when compared to the bulk Cu-O phase diagram, making it quite a challenge to achieve the formation of the nanodots containing a single phase of cuprous oxide. In the temperature range studied (450 to 850°C), the corresponding oxygen pressure region covers just less than the order of magnitude for nanoclusters vs. more than six orders for bulk  $\text{Cu}_2\text{O}$ . Another notable observation is the two distinctive mixed phase bands in phase space for the nanoclusters. The band observed at higher oxygen pressure than is required for pure  $\text{Cu}_2\text{O}$  growth (Figure 2) contains Cu in two different charge states of  $\text{Cu}^{1+}$  and  $\text{Cu}^{2+}$ , while  $\text{Cu}^{1+}$  and  $\text{Cu}^0$  are observed in the second band at lower pressures. It is considerably different from the bulk, that outside the fine  $\text{Cu}_2\text{O}$  region in the phase diagram for the nanoclusters, there are bands of temperature and pressure within which two phase-like forms coexist, not as the rather sharp phase boundaries observed for the bulk. This finding may be considered to be experimental confirmation of the theoretical prediction of multiphase coexistence bands for the small systems, which is the consequence of the small differences between the free energies of clusters in different phase-like forms.

Formation of nanoclusters on  $\text{SrTiO}_3(100)$  has been observed at all growth parameters studied, but structural details have been found to depend on the island composition. For the same amount of deposited Cu, Figure 3 illustrates the typical morphologies observed for different regions of the phase diagram. For pure  $\text{Cu}_2\text{O}$  [Figure 3(a)], the morphology consists of isolated small (20 to 50 nm lateral dimensions) square/rectangular clusters with flat tops, oriented mostly along the same  $\langle 100 \rangle$  direction, which indicates a crystalline ordering and epitaxy. For the  $\text{Cu}_2\text{O}/\text{CuO}$  region [Figure 3(b)], there is a coexistence of the similar small, and the large (100 to 150 nm) more round clusters, which indicate possible phase-like separation for the cuprous and cupric oxides. In contrast, there are only minor changes in the morphology for the  $\text{Cu}_2\text{O}/\text{Cu}$  region; in particular, in Figure 3(c), a slight reduction of the square cluster size (10 to 40 nm) with simultaneous increase of quantum dot density was observed.



**Figure 2.** Phase diagram for the formation of the copper oxide nanoclusters on  $\text{SrTiO}_3$ .



**Figure 3.** AFM 300 nm  $\times$  300 nm  $\times$  20 nm images for nanoclusters grown at 600°C at oxygen pressure of (a)  $1.5 \times 10^{-5}$  Torr, (b)  $3.5 \times 10^{-5}$  Torr, and (c)  $9.0 \times 10^{-6}$  Torr.

## Oxygen Analysis Using Ion Beam Methods

*W Jiang,<sup>(a)</sup> V Shutthanandan,<sup>(b)</sup> S Thevuthasan,<sup>(b)</sup> D McCready,<sup>(b)</sup> and W Weber<sup>(a)</sup>*

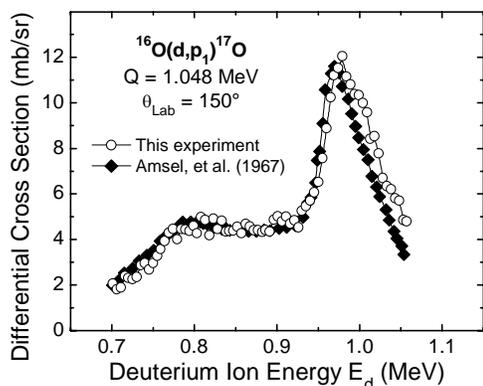
*(a) Pacific Northwest National Laboratory, Richland, Washington*

*(b) W.R. Wiley Environmental Molecular Sciences Laboratory, Richland, Washington*

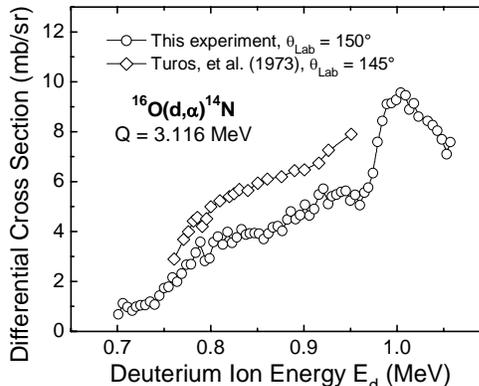
Nuclear reaction analysis (NRA) complements the widely used Rutherford backscattering spectrometry (RBS) and is the preferred method used to analyze light elements on or near the surface of a compound containing heavy elements. There are many prominent features of NRA, including high selectivity of isotopes, good sensitivity for many nuclides, non-destructive depth profiling, and quantitative analysis of elemental concentrations. Using a thin amorphous layer of SiO<sub>2</sub> (5.2 μg/cm<sup>2</sup>) on Si, cross sections for the nuclear reactions <sup>16</sup>O(d,p)<sup>17</sup>O, <sup>16</sup>O(d,α)<sup>14</sup>N and <sup>16</sup>O(α,α)<sup>16</sup>O at a laboratory angle of 150° were determined over energies ranging from 0.701 to 1.057 MeV for D<sup>+</sup> ions and from 2.949 to 3.049 MeV for He<sup>+</sup> ions.

Based on a calibration of the thickness-corrected data using a reference point of 4.42 mb/sr at E<sub>d</sub> = 0.886 MeV and θ<sub>Lab</sub> = 150°, the absolute values of the cross section for the <sup>16</sup>O(d,p) reaction were obtained. These values are shown in Figure 1 as a function of the primary D<sup>+</sup> energy E<sub>d</sub>. Also included in the figure are the results reported by Amsel and Samuel (1967) for the excitation curve at θ<sub>Lab</sub> = 150°. In general, there is an excellent agreement between the two data sets. From Figure 1, there is a monotonic increase of the cross section with increasing D<sup>+</sup> energy from 0.701 to 0.774 MeV. A plateau-like structure appears between 0.774 and 0.926 MeV on the excitation curve. The average value of the cross section in this energy range corresponds to 4.56 mb/sr with a fluctuation of ~10%. From 0.926 MeV to 1.057 MeV, there is a broad resonant peak with the maximum intensity of ~12.1 mb/sr at 0.979 MeV and a full width at half maximum (FWHM) of 95 keV. The energy width (152 keV) of the plateau corresponds to a detectable depth of over 1 μm for most oxide materials, such as SrTiO<sub>3</sub>, based on the SRIM2000 database. For conventional ion-beam analysis using a surface-barrier detector, this cross section plateau is not strongly recommended for profiling <sup>16</sup>O, as the depth resolution is poor (on the order of 100 nm).

Figure 2 shows the excitation curve from this study for the reaction <sup>16</sup>O(d,α)<sup>14</sup>N at θ<sub>Lab</sub> = 150° over energies ranging from 0.701 to 1.057 MeV. The thickness-corrected relative cross sections were normalized for 5.07 mb/sr at E<sub>d</sub> = 0.901 MeV. This calibration is based on the average value of the cross sections of 4.40 mb/sr at 135° and 5.75 mb/sr at θ<sub>Lab</sub> = 165°, since there is no data available at θ<sub>Lab</sub> = 150° for the reaction. Also included in Figure 2 is the reported data at θ<sub>Lab</sub> = 145° from 0.750 to 0.950 MeV. In general, both curves show an increase in cross sections with increasing ion energy over the energy range, and the rates of increase are comparable. The result from this study also shows a broad resonance that appears between 0.96 and 1.06 MeV with the maximum cross section of 9.56 mb/sr at 1.004 MeV. Because the cross section of the <sup>16</sup>O(d,α) reaction varies slowly with ion energy between 0.8 and 0.9 MeV, it has been used for depth profiling of oxygen in SiO<sub>2</sub> layers up to 600 nm in depth.

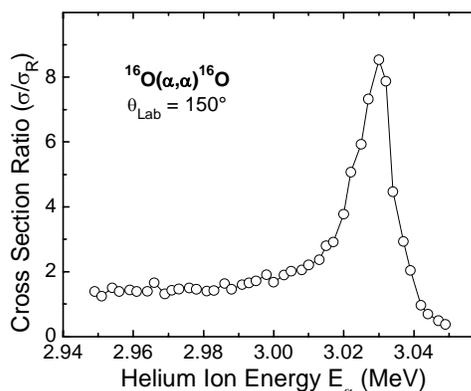


**Figure 1.** Cross sections for the reaction  $^{16}\text{O}(d,p)^{17}\text{O}$  at  $\theta_{\text{Lab}} = 150^\circ$  as a function of ion energy. Also included are the data at the same reaction angle from Amsel and Samuel (1967).



**Figure 2.** Cross sections for the reaction  $^{16}\text{O}(d,\alpha)^{14}\text{N}$  at  $\theta_{\text{Lab}} = 150^\circ$  as a function of ion energy. Also included are the data at  $\theta_{\text{Lab}} = 145^\circ$  from Turos et al. (1973).

For  $\text{He}^+$  elastic scattering from  $^{16}\text{O}$ , the cross section data for  $\theta_{\text{Lab}} = 150^\circ$  are plotted in Figure 3. There is only a gradual increase over the energy range from 2.95 to 3.01 MeV, followed by a sharp nuclear resonant peak at  $3.030 \pm 0.005$  MeV (with a FWHM of  $\sim 12$  keV) that is consistent with the report by Leavitt et al. (1990). The maximum cross section at the peak corresponds to  $8.54\sigma_{\text{R}}$ . This strong, narrow, and isolated nuclear resonance of  $^{16}\text{O}(\alpha,\alpha)$  is recommended for depth profiling of  $^{16}\text{O}$ . Based on the FWHM of the peak, the depth resolution corresponds to 34 and 48 nm for  $^{16}\text{O}$  analysis in the near-surface region of  $\text{SrTiO}_3$  and  $\text{SiO}_2$ , respectively.



**Figure 3.** Cross sections for the scattering  $^{16}\text{O}(\alpha,\alpha)^{16}\text{O}$  at  $\theta_{\text{Lab}} = 150^\circ$  as a function of ion energy.

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## User Projects

### **Investigation of Oxygen Diffusion in Single Crystal STO Films Grown on Si**

*ZJ Yu*

Motorola, Inc., Tempe, Arizona

### **Fabrication of Microconduits**

*G Dunham*

Pacific Northwest National Laboratory, Richland, Washington

### **Development of Advanced Durable Lean NO<sub>x</sub> Catalysts for Diesel Engine After Treatment**

*PW Park, K Kosbkarian*

Caterpillar, Inc., Peoria, Illinois

### **Analysis of Gases Produced During Plasma Treatment of Surfaces Contaminated with Chemical and Biological Agent Simulants**

*TM Moeller, M Fountain, L Allen*

Innovatek, Inc., Richland, Washington

### **XRD Analysis of Inorganic Chemicals**

*LL Reed, AF Fuciarelli*

Battelle Columbus, Richland, Washington

### **Deposition of High-K Dielectric Thin Films**

*AC Tuan*

University of Washington, Seattle, Washington

### **Ceria-Zirconia, Thin-Film Crystals for Electron Microscopy Studies of Metal-Support Interactions in Model Automotive-Exhaust Catalysts**

*GW Graham*

Ford Motor Company, Dearborn, Michigan

### **Biogenic Iron Materials**

*YA Gorby, AC Dobnalkova*

Pacific Northwest National Laboratory, Richland, Washington

### **Interaction of Water and Formic Acid with Oxide Surfaces**

*LN Wang*

Pacific Northwest National Laboratory, Richland, Washington

### **Post-Growth Analysis of GaN and InGaN Grown with MOCVD**

*K Poochinda, T Chen*

University of Washington, Seattle, Washington

**Crystal Perfection in Cadmium-Zinc-Telluride Radiation Detectors***M Bliss*

Pacific Northwest National Laboratory, Richland, Washington

**Examination of Failed Capscrew, Metallic Debris, Element Identification***JR LaSalle*

Energy Northwest, Richland, Washington

**Materials for Automotive Sensor Development***GW Coffey*

Pacific Northwest National Laboratory, Richland, Washington

**Denox Reactions on Ceria and Zirconia-Modified Ceria***JA Rodriguez, J Hrbek, G Liu*

Brookhaven National Laboratory, Upton, New York

**Chemistry of Cadmium-Zinc-Telluride Surfaces After Processing***AA Rouse*

eV Products, Saxonburg, Pennsylvania

**MeV He Ion Implantation in Si Wafers***FS Ohuchi*

University of Washington, Seattle, Washington

**Gold Implantation and Subsequent Formation of Gold Nano-Clusters on Glass Samples***SK Sundaram*

Pacific Northwest National Laboratory, Richland, Washington

**Field Emission Microscopy of Calcium Phosphate Films***BJ Tarasevich*

Pacific Northwest National Laboratory, Richland, Washington

**XRD Data Analysis and Consultation***ME Bussell*

Western Washington University, Bellingham, Washington

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Pacific Northwest National Laboratory, Richland, Washington

**Synthesis, Characterization, and Evaluation of Molybdenum Phosphide Hydrodesulfurization Catalysts**

*DC Phillips, DH Shorten, ME Bussell, SJ Sawhill, J King, AW Burns, M Pease, KA Layman, DR Van Wyk*

Western Washington University, Bellingham, Washington

*CH Peden*

Pacific Northwest National Laboratory, Richland, Washington

**XPS Studies of Nickel Cobalt Oxide Films**

*CF Windisch*

Pacific Northwest National Laboratory, Richland, Washington

**X-Ray Photoelectron Spectroscopic Study of Chemical Bonding and Electronic Structures of the Al<sub>2</sub>SiO<sub>5</sub> Polymorphs**

*FS Obuchi, S Ghose*

University of Washington, Seattle, Washington

**Low-Angle, X-Ray Characterization of Catalyst Porosity**

*CF Habeger, M Delgado, XS Li*

Pacific Northwest National Laboratory, Richland, Washington

**XPS Characterization of Ion-Beam Induced Surface Modification**

*J Laskin, AK Shukla, E Denisov*

Pacific Northwest National Laboratory, Richland, Washington

**Fundamental Studies of Implantation Defects in 4H-SiC**

*Y Zhang*

W.R. Wiley Environmental Molecular Sciences Laboratory, Richland, Washington

**The Characterization of the Solid State Materials with Planar Carbon Cluster Unit**

*H Zhang*

Washington State University, Richland, Washington

**Interfacial Characterization of Self-Assembled Nanoparticle (SNAP) Film Deposited on Al and AA2024**

*MS Donley*

University of Dayton, Dayton, Ohio

*JA Johnson*

Wright Patterson AFB/Nichols Research Corporation, Dayton, Ohio

**Irradiation Effects in Pyrochlore Ceramics**

*BD Begg*

Australian Nuclear Science and Technology Organization (ANSTO), Menai, NSW, Australia

**SEM of Polyaniline/Fe-Hexacyanoferrate Composite Film**

*Y Lin*

Pacific Northwest National Laboratory, Richland, Washington

**Growth and Investigation of Co-Doped Anatase Films***U Diebold, K Katsiev, N Ruzycski*

Tulane University, New Orleans, Louisiana

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University of Utah, Salt Lake City, Utah

*SC Singhal*

Pacific Northwest National Laboratory, Richland, Washington

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Hewlett Packard, Corvallis, Oregon

**Determination of Metal Dispersion Values***DL King*

Pacific Northwest National Laboratory, Richland, Washington

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Whitworth College, Spokane, Washington

*AG Joby*

Pacific Northwest National Laboratory, Richland, Washington

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Pacific Northwest National Laboratory, Richland, Washington

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Pacific Northwest National Laboratory, Richland, Washington

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Washington State University, Pullman, Washington

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Pacific Northwest National Laboratory, Richland, Washington

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Portland State University, Portland, Oregon

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University of California San Diego, La Jolla, California

**Design Fabrication and Testing of Series Connected Micro-Electrochemical Sensors***R Radhakrishnan, AV Virkar*

University of Utah, Salt Lake City, Utah

*SC Singhal*

Pacific Northwest National Laboratory, Richland, Washington

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Washington State University, Pullman, Washington

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Washington State University, Pullman, Washington

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San Francisco State University, San Francisco, California

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Pacific Northwest National Laboratory, Richland, Washington

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Pacific Northwest National Laboratory, Richland, Washington

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Oregon State University, Corvallis, Oregon

*DJ Gaspar*

W.R. Wiley Environmental Molecular Sciences Laboratory, Richland, Washington

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Lawrence Berkeley National Laboratory, University of California, Berkeley, Berkeley, California

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Oregon Health Sciences University/Oregon Graduate Institute, Beaverton, Oregon

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University of Washington, Seattle, Washington

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Pacific Northwest National Laboratory, Richland, Washington

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Pacific Northwest National Laboratory, Richland, Washington

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Keimyung University, Dalseo-Gu, Republic of Korea

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Pacific Northwest National Laboratory, Richland, Washington

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University of Washington, Seattle, Washington

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Innovateck, Inc., Richland, Washington

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Pennsylvania State University, University Park, Pennsylvania

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Australian Nuclear Science and Technology Organization (ANSTO),

Lucas Heights, Australia

*EC Buck*

Pacific Northwest National Laboratory, Richland, Washington

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University of Washington, Seattle, Washington

*JW Grate*

Pacific Northwest National Laboratory, Richland, Washington

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University of Washington, Seattle, Washington

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Pacific Northwest National Laboratory, Richland, Washington

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University of Washington, Seattle, Washington

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Portland State University, Portland, Oregon

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University of Washington, Seattle, Washington

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Washington State University, Pullman, Washington

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Washington State University, Tri-Cities, Richland, Washington

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Pacific Northwest National Laboratory, Richland, Washington

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University of Oregon, Eugene, Oregon

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University of Washington, Seattle, Washington

*V Kolb*

University of Wisconsin-Parkside, Kenosha, Wisconsin

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University of Washington, Seattle, Washington

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University of California San Diego, La Jolla, California

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State University of New York at Binghamton, Binghamton, New York

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University of Washington, Seattle, Washington

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University of Western Sydney, Nepean Australia, Penrith South DC, Australia

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Argonne National Laboratory, Argonne, Illinois

*AE Jarrell*

Pacific Northwest National Laboratory, Richland, Washington

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Hanbat National University (formerly Taejon National University of Technology),  
Vancouver, Canada

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University of Washington, Seattle, Washington

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Columbia Basin College, Pasco, Washington

*GA Tewksbury*

Oregon Health Sciences University/Oregon Graduate Institute, Beaverton, Oregon

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University of Florida, Gainesville, Florida

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Pacific Northwest National Laboratory, Richland, Washington

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Pacific Northwest National Laboratory, Richland, Washington

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Boeing, Seattle, Washington

*ML Alexander, BT Jobson*

Pacific Northwest National Laboratory, Richland, Washington

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Stevens Institute of Technology, Hoboken, New Jersey

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Columbia Basin College, Pasco, Washington

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Pacific Northwest National Laboratory, Richland, Washington

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Washington State University, Pullman, Washington

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Humboldt State University, Arcata, California

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University of Nevada, Reno, Reno, Nevada

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Pacific Northwest National Laboratory, Richland, Washington

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University of Washington, Seattle, Washington

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University of Washington, Seattle, Washington

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University of Wyoming, Laramie, Wyoming

*AG Stack*

University of California Davis, Davis, California

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Pacific Northwest National Laboratory, Richland, Washington

**Long-Term Monitoring of Permeable Reactive Barriers***W Kamolpornwijit*

Oak Ridge National Laboratory, Oak Ridge, Tennessee

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*AH Backstrom, DF Babr, MG Norton, AD LaLonde, PR Leroueil, BD Matson, A Cumberlandidge, HH Doodnauth, SA Bernard, MA Turner, CC Harris, SC Pevov, BS McDonald, KL Calvert, ST Lovald*

Washington State University, Pullman, Washington

**Morphology of Colloids Formed in Soils Reacted with Simulated Hanford Waste Tank Solutions and the Distribution of Incorporated Adsorbed Cesium in the Colloids**

*Y Deng, JB Harsh, M Flury*

Washington State University, Pullman, Washington

**Reaction Specificity of Nanoparticles in Solution**

*Y Qiang*

University of Idaho, Moscow, Idaho

*RL Penn*

University of Minnesota, Minneapolis, Minnesota

*PG Tratnye*

Oregon Health Sciences University/Oregon Graduate Institute, Beaverton, Oregon

*A El-Azab, DR Baer, KH Pecher, JE Amonette, EJ Bylaska, BD Kay, M Dupuis*

Pacific Northwest National Laboratory, Richland, Washington

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*K Jiang, R Siegel, LS Schadler*

Rensselaer Polytechnic Institute, Troy, New York

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*BJ Little*

Stennis Space Center, Diamondhead, Mississippi

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*T Yoon, GE Brown*

Stanford University, Palo Alto, California

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*LA Rogers*

Massachusetts Institute of Technology, Cambridge, Massachusetts

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*BT Jobson, ML Alexander*

Pacific Northwest National Laboratory, Richland, Washington

*CW Spicer*

Battelle Columbus, Columbus, Ohio

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*DF Babr, AL Olson, MS Kennedy, JV Martinez, MC Robinson*

Washington State University, Pullman, Washington

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*D Bosbach, K Dardenne, M Denecke*

Forschungszentrum Karlsruhe, Institute for Nuclear Waste Management,  
Karlsruhe, Germany

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*CD Struckman*

South Dakota School of Mines and Technology, Rapid City, South Dakota

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*JG Birmingham*

MicroEnergy Technologies, Inc., Vancouver, Washington

**Experimental Measurements of the Band Offsets of Epitaxial Silicon on LaAlO<sub>3</sub> Single Crystals**

*LF Edge, DG Schlom, V Vaithyanathan*

Pennsylvania State University, University Park, Pennsylvania

*SA Chambers*

Pacific Northwest National Laboratory, Richland, Washington

**Structural Characterizations of Biogenic Germanium Oxide Nanospheres**

*C Chang, G Rorrer, S Liu*

Oregon State University, Corvallis, Oregon

**GLAD Coating Microstructure**

*D Matson*

Pacific Northwest National Laboratory, Richland, Washington

**Determination of the Bonding of Various Surfactants onto ZrW<sub>2</sub>O<sub>8</sub>**

*ED Swanson*

South Dakota School of Mines and Technology, Rapid City, South Dakota

**Using Ni-Ion Irradiation for the Development of Advanced Materials for the Next Generation Nuclear Reactor**

*J Gan, TR Allen*

Argonne National Laboratory-West, Idaho Falls, Idaho

**Characterization of Defects in Nd:YAG Using High-Resolution Transmission Electron Microscopy**

*DE Eakins, MG Norton*

Washington State University, Pullman, Washington

**Hydrolytic Stability and Susceptibility of Transition Metal Diolate Complexes and Applications to Green Oxidation***KP Gable*

Oregon State University, Corvallis, Oregon

**Epoxy-Zirconate Sol-Gel Studies***LS Kasten, MS Donley*

University of Dayton, Dayton, Ohio

**Flux Measurements of Biogenic Volatile Organic Compounds by Disjunct Eddy Sampling and Ion Trap Mass Spectrometry Analysis***D Helmig, JV Ortega*

University of Colorado, Boulder, Colorado

**A Theoretical and Experimental Investigation of Multiplet Splitting for Cr Spectra Generated by X-Ray Photoelectron Spectroscopy***PS Bagus*

University of North Texas, Denton, Texas

*ES Ilton*

Pacific Northwest National Laboratory, Richland, Washington

**Molecular Beam Epitaxy Growth of Barium Strontium Titanate***TC Kaspar*

University of Washington, Seattle, Washington

*JW Rogers*

W.R. Wiley Environmental Molecular Sciences Laboratory, Richland, Washington

**XPS Analysis of Oxidized Metal Surface***BR Johnson, Y Chin*

Pacific Northwest National Laboratory, Richland, Washington

**Studies of the Effect of Particle Size on LA/ICP/MS of Heterogeneous Samples***BW Smith, JD Gutierrez, JD Winefordner*

University of Florida, Gainesville, Florida

**Characterization of Mn Oxidation State with Electron Energy Loss Spectroscopy***EC Buck*

Pacific Northwest National Laboratory, Richland, Washington

**Three-Dimensional Imaging of the Photosynthetic Bacterium, *Rhodobacter sphaeroides****RC Mackenzie*

University of Texas Health Science Center at Houston, Houston, Texas

**Task 1– Residual Characterization***DJ Hymes*

LAM Research Corporation, Fremont, California

**Fabrication of Nanoelectrode Array Base on Aligned Carbon Nanotube***Y Tu, Z Ren*

Boston College, Chestnut Hill, Massachusetts

**Oxidation Studies of Coatings for Interconnect Plates in Solid Oxide Fuel Cells***CV Ramana, RJ Smith*

Montana State University, Bozeman, Montana

**Nuclear Reaction Analysis of Helium Retention in 6H SiC as a Function of Irradiation and Annealing***RJ Smith*

Montana State University, Bozeman, Montana

**Metallurgical Characterization of Coatings Deposited by Electrospark Deposition***J Keegan, WE Wood*

Portland State University, Portland, Oregon

*GA Tewksbury*

Oregon Health Sciences University/Oregon Graduate Institute, Beaverton, Oregon

**Changes of Surface Area of Diesel Soot as a Function of Oxidation***D Kim*

Pacific Northwest National Laboratory, Richland, Washington

**Catalyst Development for Microreactors***PE Gannon*

Montana State University, Bozeman, Montana

*DR Palo*

Pacific Northwest National Laboratory, Richland, Washington

**Electrochemical Sensors for Pesticides and Toxic Metals***F Lu*

InTec Science, Inc., Brisbane, California

**The Synthesis and Characterization of One-Dimensional Nanostructures***H Zhang, LN Wang*

Washington State University, Richland, Washington

**Solid Phase Transformations in the Hanford Sediments Treated with Al-Rich, Hyperalkaline and Saline Solutions***NP Qafoku*

Pacific Northwest National Laboratory, Richland, Washington

**Immobilized Enzymes for Bioremediation and Biosensing***H Jia*

University of Akron, Akron, Ohio

*TE Herricks*

University of Washington, Seattle, Washington

*J Lee, C Lee, K Min, S Chung*

Seoul National University, Seoul, Republic of Korea

*M Kim*

Korea Advanced Institute of Science and Technology, Daejeon, Republic of Korea

*J Kim, JW Grate*

Pacific Northwest National Laboratory, Richland, Washington

### **Joint Catalyst Development**

*B Liang*

Sichuan University, Chengdu, China

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*J Osterwalder*

Universitaet Zuerich-Irchel, Zuerich, Switzerland

### **Characterization of Biologically Reduced Uranium Particles**

*BM Peyton, RK Sani*

Washington State University, Pullman, Washington

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*BP McGrail*

Pacific Northwest National Laboratory, Richland, Washington

*JS Young*

W.R. Wiley Environmental Molecular Sciences Laboratory, Richland, Washington

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*HH Westberg*

Washington State University, Pullman, Washington

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*W Yantasee*

Pacific Northwest National Laboratory, Richland, Washington

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*LR Pederson*

Pacific Northwest National Laboratory, Richland, Washington

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*F Zheng, BR Johnson, SK Sundaram, JE Martinez, BJ Riley*

Pacific Northwest National Laboratory, Richland, Washington

### **Measurements for Nano-Tip 'ID**

*R Zhao*

W.R. Wiley Environmental Molecular Sciences Laboratory, Richland, Washington

**Carbon Nanomaterial Characterization***AS Blutke*

Thermal Conversion, Corp. (TCC), Richland, Washington

*JM Henderson*

Nuvotec, Inc., Richland, Washington

**Modified Carbon Supports for Aqueous Phase Catalysis: Applications for the Conversion of Glucose and Fermentation Products to Value-Added Chemicals***G Fryxell, JF White, JG Frye*

Pacific Northwest National Laboratory, Richland, Washington

**Characterization of Defects in Yttrium Orthovanadate***JB LeBret, MG Norton, JP Winterstein*

Washington State University, Pullman, Washington

**Development of Catalyst and Membrane Systems for Fuel Processing***PM Irving, Q Ming, HA Edberg*

Innovateck, Inc., Richland, Washington

**Synthesis and Analysis of Organic Hydroperoxide by PTR-MS***TG Karl*

National Center for Atmospheric Research, Boulder, Colorado

*ML Alexander, TL Hubler, BT Jobson*

Pacific Northwest National Laboratory, Richland, Washington

**Scanning Probe Microscope Observation of Anatase TiO<sub>2</sub> Films***H Onishi*

Kanagawa Academy of Science and Technology, Takatsu-ku, Kawasaki-shi, Japan

**SEM And SIMS Analysis of Organic Semiconductor Films Grown Using Liquid Crystal Solvents***DL Patrick, J McLellan*

Western Washington University, Bellingham, Washington

**Membrane Introduction Proton Transfer Reaction Mass Spectrometry***E Boscaini*

University of Innsbruck, Innsbruck, Austria

**Determination of the Structure and Properties of NaAlH<sub>4</sub> Hydrogen Storage Materials***A El-Azab*

Pacific Northwest National Laboratory, Richland, Washington

**Characterization of Heat Treated Silicon Carbide Specimens Using Scanning Electron Microscopy***MJ Guinel, MG Norton*

Washington State University, Pullman, Washington

**XPS Characterization of the Passive Film/Corrosion Products Formed on Carbon Steel and Ni-Base Alloy in the Yucca Mountain Repository Environment***V Arjunan, J Lamb*

University of Nevada, Reno, Reno, Nevada

**Characterizing Metal Nanoparticle Nanoassemblies***SR Emory, HR Pugsley*

Western Washington University, Bellingham, Washington

**Electrochemical Sensor for Environmental Monitoring of Pesticides and Toxic Metals***L Bi*

Jilin University, Changchun, China

**Novel Structures in Nanocrystalline Ceramic Materials***G Zhan*

University of California Davis, Davis, California

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Washington State University, Pullman, Washington

**Microbial Biosignatures in Ocean Basalts***MR Fisk, JA Josef*

Oregon State University, Corvallis, Oregon

**Diffusion Study of  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>/A-Cr<sub>2</sub>O<sub>3</sub>(0001) Superlattice***DC Johnson, TM Phung*

University of Oregon, Eugene, Oregon

**Probing the Origin of the Photo-Induced Hydrophilicity on TiO<sub>2</sub>***JM White*

University of Texas at Austin, Austin, Texas

**SEM Characterization of Engineered Forms of SAMMS***S Mattigod*

Pacific Northwest National Laboratory, Richland, Washington

**Microelectromagnets***G Dunham*

Pacific Northwest National Laboratory, Richland, Washington

**Differential Anomalous Scattering Determination of Co in Anatase in Co-Doped TiO<sub>2</sub>***MF Toney*

Stanford Linear Accelerator Center, Menlo Park, California

**Nanoclusters, Nanomaterials, and Nanotechnology***J Antony*

University of Idaho, Moscow, Idaho

*Q Liu*

Florida State University, Tallahassee, Florida

*A El-Azab, DR Baer*

Pacific Northwest National Laboratory, Richland, Washington

**EM Analysis of Bacterial Co-Cultures***FJ Brockman*

Pacific Northwest National Laboratory, Richland, Washington

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Washington State University, Tri-Cities, Richland, Washington

**Image Iron Oxide Nanoparticles***GR Holtom*

W.R. Wiley Environmental Molecular Sciences Laboratory, Richland, Washington

**DC-1 Molecular and Bio Imprinting***XS Li*

Pacific Northwest National Laboratory, Richland, Washington

**Silicon Carbide Nanowires and Nanosprings: Processing, Self-Assembly, Characterization, and Properties***D Zhang, DN McLroy, AI Alkhateeb, J Wei, YA Kranov, H Mabood*

University of Idaho, Moscow, Idaho

*AD LaLonde*

Washington State University, Pullman, Washington

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University of Idaho, Moscow, Idaho

*AD LaLonde*

Washington State University, Pullman, Washington

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Pacific Northwest National Laboratory, Richland, Washington

**Determine Particle Morphology in Ultrasound Assisted Heterogeneous Catalysis***RS Disselkamp*

Pacific Northwest National Laboratory, Richland, Washington

**Ion-Solid Interactions in Ceramics***WJ Weber, W Jiang*

Pacific Northwest National Laboratory, Richland, Washington

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Pacific Northwest National Laboratory, Richland, Washington

**Monolayer Protected Gold Nanoparticle Investigation and Characterization***JW Grate, BP Dockendorff*

Pacific Northwest National Laboratory, Richland, Washington

*LA Hughes*

Southern Oregon University, Ashland, Oregon

**Synthesis and Characterization of Novel Nanocrystalline Oxide Film Structures:  
Interface Controlled, Self-Assembled Oxide Quantum***JF Groves, Y Du*

University of Virginia, Charlottesville, Virginia

*A El-Azab, CF Windisch, DR Baer, J Szanyi*

Pacific Northwest National Laboratory, Richland, Washington

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University of Central Florida, Orlando, Florida

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Pacific Northwest National Laboratory, Richland, Washington

**Low Energy Sputtering Experiments using RBS***MR Nakles, MT Domonkos*

National Aeronautics and Space Administration, Glenn Research Center, Cleveland, Ohio

**Characterization of Noble Metal Catalysts for Hydrogen Production and  
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University of South Carolina, Columbia, South Carolina

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Pacific Northwest National Laboratory, Richland, Washington

**Characterization Polymer Thin Films and Arrays**

*M Yan, J Ren, R Joshi, MB Harnish*

Portland State University, Portland, Oregon

*Y Chen*

Oregon Health Sciences University/Oregon Graduate Institute, Beaverton, Oregon

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*Y Lu, S Adler, JR Wilson, L Dmyushkina*

University of Washington, Seattle, Washington

*O Marina*

Pacific Northwest National Laboratory, Richland, Washington

**Steam Reforming of Methanol Over a Highly Active and Selective Pd/ZnO Catalyst**

*RA Dagle*

Pacific Northwest National Laboratory, Richland, Washington

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*KM Hinkley*

University of Washington, Bellevue, Washington

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*AS Posadas, J Yan, C Abn*

Yale University, New Haven, Connecticut

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*FT Avignone*

University of South Carolina, Columbia, South Carolina

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*S Fendorf, JM Neiss*

Stanford University, Palo Alto, California

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*MV White*

Sacramento City College, Sacramento, California

*BT Jobson, ML Alexander*

Pacific Northwest National Laboratory, Richland, Washington

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*MJ Molina, BM Zuberi, KS Johnson*

Massachusetts Institute of Technology, Cambridge, Massachusetts

*RS Disselkamp, AB Laskin*

Pacific Northwest National Laboratory, Richland, Washington

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Pacific Northwest National Laboratory, Richland, Washington

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Pacific Northwest National Laboratory, Richland, Washington

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University of Southern California, Los Angeles, California

*CH Peden*

Pacific Northwest National Laboratory, Richland

**Silicon Nanotips for Enhanced Electron Emission***G Dunham, CC McQuerry*

Pacific Northwest National Laboratory, Richland, Washington

**Bioavailability of Arsenic in Dislodgeable Residue from Pressure-Treated Wood***BM Sass*

Battelle Columbus, Columbus, Ohio

**Photovoltaics Projects***G Fryxell*

Pacific Northwest National Laboratory, Richland, Washington

**XPS Characterization of Diesel Soot Materials***D Kim*

Pacific Northwest National Laboratory, Richland, Washington

**Electrochemical and AFM/STM Study of TiO<sub>2</sub> (Anatase) Film on SrTiO<sub>3</sub> Substrate***L Kavan*

J. Heyrovsky Institute of Physical Chemistry, Academy of Sciences of the Czech Republic, Prague, Czech Republic

**Oxydation of Fe/Cu and Fe/Ag Multilayer Films Prepared by DC Magnetron Sputtering***AP Kouprine, DU Ryan, Z Altounian*

McGill University, Montreal, Quebec, Canada

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Pacific Northwest National Laboratory, Richland, Washington

**Chemical Processing of Terpene Emissions from Forests***PV Doskey*

Argonne National Laboratory, Argonne, Illinois

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U.S. Military Academy, West Point, New York

*RS Addleman, ED Bott*

Pacific Northwest National Laboratory, Richland, Washington

**TEM and XPS Analysis of Ligand-Functionalized Semiconductor Quantum Dots Used in Biodetection Studies***MG Warner, C Bruckner-Lea*

Pacific Northwest National Laboratory, Richland, Washington

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Oregon State University, Corvallis, Oregon

**Degradation of Oxalate Containing Compounds by Soil Microbes***SA Boyle, DD Myrold*

Oregon State University, Corvallis, Oregon

**Physical Properties of Natural Siliceous Sinters from Thermal Springs***NW Hinman*

University of Montana, Missoula, Montana

**Surface Structure and Chemistry of Carbonate Minerals***DR Baer, JE Amonette*

Pacific Northwest National Laboratory, Richland, Washington

**Ultrapure, Monodisperse, Spherical, Unagglomerated Nano Powders for Infrared Window Materials***A Akash*

Ceramatec, Inc., Salt Lake City, Utah

**Hydrogen Adsorption/Release Study of Selected Chemical Hydrides***L Li*

Pacific Northwest National Laboratory, Richland, Washington

**Investigation of Strain in Ingan MQW Structures***MC Johnson*Lawrence Berkeley National Laboratory, University of California, Berkeley,  
Berkeley, California**Oxidation of Lead Sulfide Surfaces in the Presence of Phosphate: Nanoparticle Formation***AG Stack, WH Casey*

University of California at Davis, Davis, California

**TEM and SEM Investigation of Non-Pathogenic Bacterial Cultures***AC Dobnalkova*

Pacific Northwest National Laboratory, Richland, Washington

**Variable Temperature XRD Studies of Ge/SiO<sub>2</sub>/Si Systems***Y Liang*

Motorola, Inc., Tempe, Arizona

**Observations of Scytonema-Colonized and Non-Colonized Fiber Cement Roofing Shingles***RM Fisher, BA Reine*

Lab/Cor, Inc., Seattle, Washington

**Magnetic and Transport Studies of Co Doped TiO<sub>2</sub> Thin Films***A Punnoose*

Boise State University, Boise, Idaho

**Composition Characterization of ZnO:Cr and ZnO:Co***BK Roberts, K Krishnan*

University of Washington, Seattle, Washington

**MBE Growth of Epitaxial Anatase TiO<sub>2</sub>(001) on STO(001) and Si(001) and Characterization of TiO<sub>2</sub> Films***KA Griffin, K Krishnan*

University of Washington, Seattle, Washington

**Fabrication and Characterization of Functional Nanostructured Materials***S Jin, X Ye*

University of California at San Diego, La Jolla, California

**Fabrication and Characterization of Carbon Nanotube-Based Composites***S Jin, X Ye*

University of California at San Diego, La Jolla, California

**Synthesis and Characterization at Atomic Level of Novel Nanocrystalline Metal Oxide Structures***Z Yu*

Nanjing Normal University, Nanjing, China

**Analysis of Catalysts and Microchannel Components***VS Stenkamp, KP Brooks, DL King, W Tegrotenhuis, CM Fischer*

Pacific Northwest National Laboratory, Richland, Washington

**Template-Based Growth of V<sub>2</sub>O<sub>5</sub> Nanorods by Electrodeposition and/or Sol Electrophoresis***SJ Limmer, G Cao*

University of Washington, Seattle, Washington

**STM Tip Analysis by TEM***Z Dohnalek*

Pacific Northwest National Laboratory, Richland, Washington

**Micro-Dimension Calibration***YB Peng*

XL Science &amp; Technology, Richland, Washington

**Sulfur Absorbents for Emission Control***L Li*

Pacific Northwest National Laboratory, Richland, Washington

**The Synthesis of Au Nanoclusters***H Zhang, LN Wang*

Washington State University, Richland, Washington

**Development of a Soldier-Portable Power System***DR Palo*

Pacific Northwest National Laboratory, Richland, Washington

**Characterization of Surface and Materials***C Lei*

Pacific Northwest National Laboratory, Richland, Washington

**SEM Characterization of Proposed Hydrogen Storage Materials***LE Thomas*

Pacific Northwest National Laboratory, Richland, Washington

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Pacific Northwest National Laboratory, Richland, Washington

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Pacific Northwest National Laboratory, Richland, Washington

**Analysis of Catalysts***DC Elliott*

Pacific Northwest National Laboratory, Richland, Washington

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*W Gao, EI Altman*

Yale University, New Haven, Connecticut

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*BR Johnson*

Pacific Northwest National Laboratory, Richland, Washington

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*TC Kaspar, SA Chambers, TC Droubay*

Pacific Northwest National Laboratory, Richland, Washington

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*PE Burrows*

Pacific Northwest National Laboratory, Richland, Washington

**Influence of Gd and Sm Doping on Atomic and Ionic Transport Properties of Novel Nanostructured Ceria-Zirconia Multilayers**

*A El-Azab, O Marina, D Matson*

Pacific Northwest National Laboratory, Richland, Washington

*T Thevuthasan*

W.R. Wiley Environmental Molecular Sciences Laboratory, Richland, Washington

## Staff

S. Thevuthasan, Senior Research Scientist, Technical Lead  
(509) 376-1375, theva@pnl.gov

Lisa Smith, Senior Administrative Secretary  
(509) 376-1518, l.smith@pnl.gov

Mark H. Engelhard, Research Scientist  
(509) 376-1664, mark.engelhard@pnl.gov

Daniel J. Gaspar, Senior Research Scientist  
(509) 376-2413, daniel.gaspar@pnl.gov

A. Scott Lea, Senior Research Scientist  
(509) 376-9145, scott.lea@pnl.gov

Igor V. Lyubinetsky, Senior Research Scientist  
(509) 376-5220, igor.lyubinetsky@pnl.gov

David E. McCready, Research Scientist  
(509) 376-9648, david.mccready@pnl.gov

Matthew I. McKinley, Student  
(509) 376-1117, matthew.mckinley@pnl.gov

Laxmikant V. Saraf, Senior Research Scientist  
(509) 376-2006, laxmikant.saraf@pnl.gov

V. Shutthanandan, Senior Research Scientist  
(509) 376-2708, shuttha@pnl.gov

Casey C. Stratton, Student  
(509) 376-2539, casey.stratton@pnl.gov

Chongmin M. Wang, Senior Research Scientist  
(509) 376-4292, chongmin.wang@pnl.gov

James S. Young, Technologist  
(509) 376-2046, jim.young@pnl.gov

Yanwen Zhang, Senior Research Scientist  
(509) 376-3429, yanwen.zhang@pnl.gov